

# Memorandum

**To:** TJPA Board of Directors  
**From:** Erin Roseman, Chief Financial Officer  
**Date:** For the Board Meeting of December 12, 2019  
**Re:** Transbay Joint Powers Authority Quarterly Financial Reports

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The following reports have been prepared as information items for the TJPA Board:

1. FY 2019-20 First Quarter Budget vs. Actual Financial Report (Unaudited)
2. Status of Purchase Orders and Contracts Greater than \$25,000 as of September 30, 2019
3. Inception to September 30, 2019 Capital Expenditures and Funding Sources (Unaudited)
4. Status of Reserves

This memorandum describes the reports, which are provided for information only; no Board action is required.

## **1. FY 2019-20 First Quarter Budget vs. Actual Financial Report**

The TJPA Board approved the FY 2019-20 operating and capital budgets in June 2019. There has been one amendment to each of the fiscal year budgets, approved by the Executive Director under TJPA Budget Policy.

Accounting reports have been summarized to show budget versus actual amounts for operating expenses, capital expenditures, and corresponding revenues and funding sources through the first quarter of fiscal year 2019-20 (July 1, 2019 through September 30, 2019), unaudited, for the operating and capital budgets. The FY 2019-20 first quarter budget versus actual financial report is Attachment 1.

## **2. Status of Purchase Orders and Contracts Greater than \$25,000 as of September 30, 2019**

TJPA staff periodically reports to the Board on the status of contracts greater than \$25,000. As shown in Attachment 2, expenditures and expenses as of September 30, 2019, are within the contract certification amounts. Additional details for each contract are noted in the report.

Data on Disadvantaged and Small Business Enterprise (DBE/SBE) utilization is also included for each contract. With minimal amounts of FTA grants remaining for new contract awards, TJPA did not have a target DBE Participation Level for the three Federal Fiscal Years October 1, 2016 through September 30, 2019 but did have an SBE goal for the Program of 14.3%. The DBE commitment for all contracts awarded in the period was 8% and the SBE commitment was 15%. \$86 million has been paid to DBEs and SBEs for the Federal Fiscal Years 2016-19 (October 1, 2016 – September 30, 2019) thus far.

## **3. Inception to September 30, 2019 Capital Outlay and Funding Sources**

The Inception to Date Capital Outlay and Funding Sources (unaudited) report (Attachment 3) provides an overview of the TJPA's capital outlay and funding sources from the beginning of the program through September 30, 2019, for both program phases. Summary level information is provided by major capital outlay category and funding source. This report does not include operating expenses or revenues.

## **4. Status of Reserves**

The TJPA Board Policy defines three reserve categories Emergency Reserve, Fiscal Reserve, and Operating & Maintenance Reserve. The Emergency Reserve and the Operations & Maintenance Reserve

## Transbay Joint Powers Authority **Quarterly Financial Reports**

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are accounted for in Operations while the Fiscal Reserve is accounted for in Capital.

For Fiscal Year 2019-20, in the Operating Funds, the Emergency Reserve was maintained in accordance with Policy at \$500,000. The Operating & Maintenance Reserve Policy goal of 25% of the total operating budget goal was modified with the adoption of the FY 2019-20 Operating Budget. The O&M Reserve was maintained in accordance with the modified goal at \$1,953,049 and \$1,381,700 has been restricted for use in FY20-21. For FY 2019-20, in Operating Funds, the Unassigned Unrestricted Fund Balance is \$2,719,610 from previous year residual income. As a note, the Unassigned Fund Balance will fluctuate throughout the year.

Additionally, the First Quarter Investment Report was distributed to the Board and posted on the TJPA website under Financial Documents on December 4, 2019. TJPA investments were in compliance with the TJPA Investment Policy.

**Transbay Joint Powers Authority**  
**Fiscal Year 2019-20 Budget vs. Actual (Unaudited)**  
**1st Quarter (July through September 2019)**  
As of October 22, 2019

	FY 2019-20 Operating Budget Amendment 1 <sup>1</sup>	FY 2019 -20 1st Quarter Operating Revenues & Expenses	Unexpended FY 2019 -20 Operating Budget	Percent Expended through Fiscal Year
<b>REVENUE AND OTHER SOURCES</b>				
<b>INTERGOVERNMENTAL REVENUES</b>				
Regional Measure 2	8,201,958	2,517,745	5,684,213	31%
Regional Measure 3	1,625,600	-	1,625,600	0%
Community Benefit District Park Payments	1,605,770	-	1,605,770	0%
Other Regional Contributions - AC Transit (74%)	3,922,450	980,612	2,941,838	25%
Other Regional Contributions - SF Municipal Transportation (26%)	1,378,158	344,540	1,033,618	25%
<b>Subtotal, Intergovernmental Revenue</b>	<b>16,733,936</b>	<b>3,842,897</b>	<b>12,891,039</b>	<b>23%</b>
<b>OPERATING REVENUES</b>				
Naming Rights	3,546,600	3,037,567	509,033	86%
Transit Center Retail Revenue	3,269,469	-	3,269,469	0%
Transit Center Sponsorship / Event Revenue	614,500	-	614,500	0%
Transit Center Advertising & Miscellaneous Revenue	2,064,623	14,187	2,050,436	1%
Neutral Host DAS fees	625,000	10,417	614,583	2%
Other Operator Rents	319,500	100,123	219,377	31%
AC Transit (Bus Storage Facility and Bart Bridge Security)	325,165	40,051	285,114	12%
201 Mission Sublease	780,000	-	780,000	0%
Other Regional Contributions	-	6,000	(6,000)	0%
<b>Subtotal, Operating Revenue</b>	<b>11,544,857</b>	<b>3,208,343</b>	<b>8,336,513</b>	<b>28%</b>
<b>OTHER SOURCES</b>				
Use of Fund Balance	500,000	-	500,000	0%
Use of Operating & Maintenance Reserve	2,019,700	-	2,019,700	0%
<b>Subtotal, Other Sources</b>	<b>2,519,700</b>	<b>-</b>	<b>2,519,700</b>	<b>0%</b>
<b>TOTAL REVENUE AND OTHER SOURCES</b>	<b>30,798,493</b>	<b>7,051,240</b>	<b>23,747,253</b>	<b>23%</b>
<b>EXPENSES AND CONTINGENCIES</b>				
<b>Salaries, Fringe Benefits &amp; Administration</b>	<b>3,630,511</b>	<b>585,619</b>	<b>3,044,893</b>	<b>16%</b>
<b>Professional Services</b>				
Legal Counsel (City Attorney, Outside Counsel)	303,000	14,447	288,553	5%
Accounting Software, Installation and Maintenance	30,350	30,342	9	100%
Audits	24,000	-	24,000	0%
Credit Rating Analysis	30,000	-	30,000	0%
Trustee Account Services	1,100	275	825	25%
Community & Public Relations	9,000	-	9,000	0%
Legislative Services	138,625	-	138,625	0%
Other Intergovernmental Agreements / Consulting Services	30,000	-	30,000	0%
<b>Subtotal, Professional Services</b>	<b>566,075</b>	<b>45,064</b>	<b>521,012</b>	<b>8%</b>
<b>Total Administrative Budget</b>	<b>4,196,586</b>	<b>630,682</b>	<b>3,565,904</b>	<b>15%</b>
<b>Contingencies</b>				
Emergency Reserve	500,000	-	500,000	0%
O&M Reserve / Fiscal Reserve	1,953,049	-	1,953,049	0%
<b>Subtotal, Contingencies</b>	<b>2,453,049</b>	<b>-</b>	<b>2,453,049</b>	<b>0%</b>
<b>Transit Center</b>				
Transit Center Maintenance	2,965,049	252,735	2,712,314	9%
Transit Center Janitorial	2,505,128	192,967	2,312,161	8%
Transit Center Utilities	2,182,896	184,687	1,998,209	8%
Park Maintenance & Programming	531,470	59,247	472,223	11%
Park Management Fee & Administration	798,000	133,000	665,000	17%
Digital Content Management & Wayfinding	696,359	75,870	620,489	11%
Wayfinding Gap Analysis	100,000	-	100,000	0%
Marketing & Leasing Commissions	1,909,432	269,126	1,640,306	14%
Cybersecurity / IT	1,293,671	121,689	1,171,982	9%
Bus Storage Facility and BART Bridge Security	325,165	21,449	303,716	7%
Asset Management Fee & Administration	1,912,483	153,359	1,759,124	8%
Security	7,222,585	1,103,423	6,119,162	15%
Insurance	1,706,620	1,706,620	0	100%
<b>Subtotal, Transit Center</b>	<b>24,148,858</b>	<b>4,274,172</b>	<b>19,874,686</b>	<b>18%</b>
<b>TOTAL EXPENSES AND CONTINGENCIES</b>	<b>30,798,493</b>	<b>4,904,854</b>	<b>25,893,639</b>	<b>16%</b>

1 Operating Budget Amendment 1 approved by TJPA Executive Director under TJPA Budget Policy.

**Transbay Joint Powers Authority**  
**Fiscal Year 2019-20 Budget vs. Actual (Unaudited)**  
**1st Quarter (July through September 2019)**  
 As of October 22, 2019

	FY 2019-20 Debt Service Budget <sup>1</sup>	FY 2019 -20 1st Quarter Debt Service Revenue & Expense	Unexpended FY 2019 -20 Debt Service Budget	Percent Expended through Fiscal Year
<b>REVENUES</b>				
<b>INTERGOVERNMENTAL REVENUES</b>				
Net Tax Increment Revenue	6,538,828	-	6,538,828	0%
Interest Income		166,285		
<b>OTHER</b>				
Use of (Contribution to) Fund Balance	-	585,660	(585,660)	0%
<b>TOTAL REVENUES</b>	<b>6,538,828</b>	<b>585,660</b>	<b>5,953,168</b>	<b>9%</b>
<b>EXPENSES</b>				
Debt Service / City Financing Interest	6,538,828	585,660	5,953,168	9%
<b>TOTAL EXPENSES</b>	<b>6,538,828</b>	<b>585,660</b>	<b>5,953,168</b>	<b>9%</b>

<sup>1</sup> Debt Service Budget extracted from Board-approved Operating Budget.

**Transbay Joint Powers Authority**  
**Fiscal Year 2019-20 Budget vs. Actual (Unaudited)**  
**1st Quarter (July through September 2019)**  
 As of October 22, 2019

	FY 2019-20 Capital Budget Amendment 1 <sup>1</sup>	FY 2019-20 1st Quarter Capital Revenues & Expenses	Unexpended FY 2019 -20 Capital Budget	Percent Expended through Fiscal Year
<b>REVENUES <sup>2</sup></b>				
<b>COMMITTED REVENUES</b>				
Regional Measure 2	179,000	53,965	125,035	30%
AB1171 Bridge Tolls	436,000	418,717	17,283	96%
Proposition K San Francisco Sales Tax	20,250	185,813	(165,563)	918%
TIFIA Proceeds	587,000	34,072	552,928	6%
Transit Center District CFD Reimbursements	63,544,413	7,989,346	55,555,067	13%
Transit Center District CFD Impact Fees	850,839	237,333	613,506	28%
FTA Bus Facility, Alternatives Analysis, OBAG, PNRS Grants	-	12,448	(12,448)	0%
Land Sales Proceeds	-	90,367	(90,367)	0%
Bridge Loan Proceeds	-	97,497	(97,497)	0%
Lease Income	95,000	58,503	36,497	62%
Interest Income	438,000	41,545	396,455	9%
Reimbursements by Others (Private Utilities, Adjacent Properties)	2,799,720	412,481	2,387,239	15%
<b>Subtotal, Committed Revenue</b>	<b>68,950,222</b>	<b>9,632,087</b>	<b>59,318,135</b>	<b>14%</b>
<b>PLANNED REVENUES</b>				
Transit Center District Impact Fees-IPIC / RM-3	21,924,953	-	21,924,953	0%
Proposition K San Francisco Sales Tax	9,192,000	-	9,192,000	0%
<b>Subtotal, Planned Revenue</b>	<b>31,116,953</b>	<b>-</b>	<b>31,116,953</b>	<b>0%</b>
<b>OTHER</b>				
Use of (Contribution to) Fund Balance	-	15,525	(15,525)	0%
<b>Subtotal, Other</b>	<b>-</b>	<b>15,525</b>	<b>(15,525)</b>	<b>0%</b>
<b>TOTAL REVENUES</b>	<b>100,067,175</b>	<b>9,647,612</b>	<b>90,419,563</b>	<b>10%</b>

**Transbay Joint Powers Authority**  
**Fiscal Year 2019-20 Budget vs. Actual (Unaudited)**  
**1st Quarter (July through September 2019)**  
*As of October 22, 2019*

	FY 2019-20 Capital Budget Amendment 1 <sup>1</sup>	FY 2019-20 1st Quarter Capital Revenues & Expenses	Unexpended FY 2019 -20 Capital Budget	Percent Expended through Fiscal Year
<b>EXPENSES</b>				
<i>Salaries, Fringe Benefits &amp; Administration</i> <sup>3</sup>	2,389,142	589,282	1,799,860	25%
<b>Professional Services</b> <sup>3</sup>				
<i>Legal Counsel</i>	9,672,000	670,378	9,001,622	7%
<i>Financial &amp; Grant Management</i>	575,000	62,842	512,158	11%
<i>Audit Services</i>	28,000	-	28,000	0%
<i>Economic and Real Estate Analysis</i>	50,000	-	50,000	0%
<i>Community &amp; Public Relations</i>	21,000	-	21,000	0%
<i>Legislative Services</i>	138,625	66,000	72,625	48%
<i>Other Intergovernmental Agreements / Other Consulting Assistance</i>	60,000	-	60,000	0%
<b>Subtotal, Professional Services</b>	<b>10,544,625</b>	<b>799,221</b>	<b>9,745,404</b>	<b>8%</b>
<b>Subtotal, Administrative Expense</b>	<b>12,933,767</b>	<b>1,388,503</b>	<b>11,545,264</b>	<b>11%</b>
<b>Professional &amp; Specialized Services</b>				
<b>Engineering &amp; Design Services</b>				
Program Management / Program Controls	4,510,000	241,546	4,268,454	5%
Downtown Extension Preliminary Engineering & Design	12,770,000	-	12,770,000	0%
Downtown Extension Interagency Coordination	300,000	-	300,000	0%
Transit Center & Ramps Engineering & Design	400,000	179,237	220,763	45%
Transit Center Traffic Signals	100,000	-	100,000	0%
Transit Center Overhead Lines	50,000	-	50,000	0%
Transit Center Commissioning Agent	60,000	-	60,000	0%
Public Art Program	21,000	-	21,000	0%
Other Engineering & Design Services	535,500	-	535,500	0%
<b>Permits and Fees</b>				
Transit Center Building & Ramps Permits & Fees	5,000	1,893	3,107	38%
Other Plan Checks / Permit Fees	30,000	-	30,000	0%
<b>Real Estate Services</b>				
Property Management	9,000	1,000	8,000	11%
Real Estate Valuation Services	100,000	-	100,000	0%
<b>Construction Management</b>				
Construction Management Oversight for Transit Center	3,000,000	757,098	2,242,902	25%
Construction Dispute Resolution Services	200,000	16,939	183,061	8%
<b>Construction</b>				
Transit Center Building & Ramps Construction	42,000,000	3,399,790	38,600,210	8%
Base Building / Tenant Improvements	21,842,908	3,542,928	18,299,980	16%
<b>Planning &amp; Environmental Analysis</b>				
Phase 3 Scoping	1,000,000	-	1,000,000	0%
<b>Transit Center Temporary Closure</b>	<b>200,000</b>	<b>118,678</b>	<b>81,322</b>	<b>59%</b>
<b>Subtotal, Professional &amp; Specialized Services</b>	<b>87,133,408</b>	<b>8,259,110</b>	<b>78,874,298</b>	<b>9%</b>
<b>TOTAL EXPENSES</b>	<b>100,067,175</b>	<b>9,647,612</b>	<b>90,419,563</b>	<b>10%</b>

<sup>1</sup> Capital Budget Amendment 1 approved by TJPA Executive Director under TJPA Budget Policy.

<sup>2</sup> Capital revenues are multi-year, and do not lapse at the end of a fiscal year. Revenues that are budgeted in one fiscal year, but not utilized, will carry forward into the following year.

<sup>3</sup> Italics indicate those line items for which the approval of the expenditure amount provides expenditure authorization from the Board. Other line items are generally authorized through contract approvals.

**Transbay Joint Powers Authority**  
**Status of Purchase Orders and Contracts greater than \$25,000**  
**Fiscal Year 2019-20 through First Quarter (July 2019 to September 2019)**  
As of October 22, 2019

Purpose	Vendor	Contract Value	Accrued to September 30, 2019	Term	Funding Source	DBE Advisory	DBE Actual through September 30, 2019	SBE Goal	SBE Actual through September 30, 2019	
<b>Professional &amp; Specialized Services</b>										
1	Program Management / Program Controls	AECOM (formerly URS)	Authorized: \$31,952,160 Total: \$35,500,000	\$29,379,352	7/1/14-12/31/19	AB1171, Bridge loan, CFD impact fees, Reimbursements, developer contribution, Planning, Prop K, TIFIA	n/a	23%	37%	30%
2	Downtown Extension Preliminary Engineering	Parsons Transportation Group	\$22,300,000	\$7,346,171	7/1/11-12/31/19	CFD impact fees, Planning, Prop K	31%	6%	0%	8%
3	Bus Ramp Design Peer Review Services	Thornton Tomasetti	Authorized: \$86,000 Total: \$86,000	\$86,000	11/20/17 - 11/19/18	Reimbursements	n/a	0%	n/a	0%
4	Utility Relocation A&E Services	AECOM (formerly Metcalf & Eddy)	Authorized: \$5,548,071 Total: \$5,593,515	\$5,548,071	9/20/07-Utility Warranty	AB1171, FRA, RM-2	26%	7%	33%	15%
5	Transit Center Bldg & Related Structures A&E Services	Pelli Clarke Pelli Architects	Authorized: \$160,195,617 Total: \$160,360,000	\$158,478,742	5/16/08-Transit Ctr Warranty	AB1171, AC Transit, bridge loan, Reimbursements, City Financing, developer contrib, FRA, land sales, Prop K, RM-2, RTIP, TIFIA	0%	0%	n/a	8%
6	Commissioning Agent	Enovity Inc.	Authorized: \$828,196 Total: \$828,196	\$787,507	9/10/09-6/30/20	RM-2	5%	0%	90%	60%
7	Organizational Assessment	Management Partners	Authorized: \$68,300 Total: \$100,000	\$67,100	8/16/18-8/15/21	FTA, TIFIA	n/a	n/a	n/a	n/a
8	Elevators	Otis Elevator Company	Authorized: \$406,426 Total: \$2,160,000	\$406,426	9/1/18 - 8/31/28	Reimbursements, City Financing	n/a	n/a	n/a	n/a
9	Escalators	Schindler Elevator Corporation	Authorized: \$465,982 Total: \$3,000,000	\$465,982	9/1/18 - 8/31/29	TIFIA	n/a	n/a	n/a	n/a
10	Artwork: Shaw Alley Pedestrian Passage	James Carpenter Design Associates	\$207,000	\$175,950	3/3/11-12/31/19	Bridge loan, Reimbursements, City Financing, Prop K	n/a	n/a	n/a	n/a
11	Artwork: Bus Level Enclosure LED Array	Jenny Holzer	\$260,000	\$248,500	9/8/16-n/a	AB1171, Reimbursements, City Financing, Prop K	n/a	n/a	n/a	n/a
12	Lease Agreement (Rent Costs only)	California Mission Street Partners	\$8,629,300	\$7,480,779	12/1/03-3/31/21	Bridge loan, Reimbursements, FTA, RTIP, sublease, TIFIA	n/a	n/a	n/a	n/a

**Transbay Joint Powers Authority**  
**Status of Purchase Orders and Contracts greater than \$25,000**  
**Fiscal Year 2019-20 through First Quarter (July 2019 to September 2019)**  
As of October 22, 2019

Purpose	Vendor	Contract Value	Accrued to September 30, 2019	Term	Funding Source	DBE Advisory	DBE Actual through September 30, 2019	SBE Goal	SBE Actual through September 30, 2019	
<b>Legal Bench 12-02</b>		<b>Authorized:</b>								
13	General Counsel	Shute, Mihaly & Weinberger	\$12,484,600	\$11,786,495	9/1/12-8/31/20	Reimbursements, City Financing, developer contribution, FTA, Naming Rights, Prop K, RM-2, TIFIA, unrestricted revenue	0%	0%	100%	82%
14	Construction Counsel	Seyfarth Shaw	\$4,659,000	\$4,364,936	9/19/12-9/18/20	Reimbursements, City Financing, developer contribution, FRA, FTA, Prop K, unrestricted revenue	0%	0%	0%	0%
15	Financial Counsel	Nixon Peabody	\$685,000	\$677,421	9/21/12-9/20/20	Reimbursements, FTA, Prop K, TIFIA	0%	0%	0%	0%
16	Labor Counsel	Renne Public Law Group	\$270,773	\$258,843	9/1/12-8/31/20	Reimbursements, FTA, Prop K	0%	0%	0%	0%
<b>Total Authorized:</b>		<b>\$18,099,373</b>	<b>Total Bench NTE:</b>		<b>\$20,000,000</b>					
17	Legal Counsel	Jones Day	Authorized: \$16,700,000 Total: \$18,100,000	\$15,924,905	12/14/16-12/13/21	Reimbursements, insurer reimbursements	n/a	0%	0%	0%
18	Financial Consulting Services	Sperry Capital (formerly Nancy Whelan Consulting)	Authorized: \$4,804,920 Total: \$5,285,412	\$4,752,036	3/1/11-6/30/20	Reimbursements, FRA, FTA, Prop K, TIFIA	30%	12%	72%	<b>70%</b>
19	State Advocacy Services	Townsend Public Affairs	\$327,000	\$317,750	11/1/16-10/31/19	unrestricted revenue	n/a	0%	0%	0%
20	Federal Advocacy Services	Carpi & Clay	\$483,000	\$404,256	2/1/17-1/31/20	unrestricted revenue	n/a	0%	0%	0%
21	Integrated Financial System	Tyler Technologies (formerly New World Systems)	\$507,782	\$452,911	2/21/08-6/30/20	Bridge loan, FTA, RM-2, TIFIA	0%	0%	0%	0%
22	Independent Auditor	Vavrinek, Trine, Day & Co	\$192,000	\$162,000	3/11/16-3/10/20	Bridge loan, Reimbursements, TIFIA	0%	0%	0%	0%
23	Information Technology Support Services	CyberTrails (formerly Decision Consultants)	Authorized: \$407,500 Total: \$510,000	\$391,708	7/24/13-2/20/20	Bridge loan, FTA, TIFIA	n/a	<b>80%</b>	n/a	<b>0%</b>



**Transbay Joint Powers Authority**  
**Status of Purchase Orders and Contracts greater than \$25,000**  
**Fiscal Year 2019-20 through First Quarter (July 2019 to September 2019)**  
As of October 22, 2019

Purpose	Vendor	Contract Value	Accrued to September 30, 2019	Term	Funding Source	DBE Advisory	DBE Actual through September 30, 2019	SBE Goal	SBE Actual through September 30, 2019	
<b>Construction &amp; Construction Management</b>										
24	Construction Management Oversight	Turner Construction Company	\$81,000,000	\$80,005,463	6/28/10-6/30/20	AB1171, AC Transit, bridge loan, Reimbursements, FRA, land sales, Prop K, TIFIA, unrestricted revenue	35%	38%	35%	42%
25	Construction Manager/ General Contractor - TTC & Ramps	Webcor/Obayashi	Authorized Pre-Construction: \$30,728,362 Authorized Construction: \$1,386,082,454	\$1,420,022,956	3/12/09-Completion	AB1171, AC Transit, bridge loan, bridge tolls, Reimbursements, City Financing, developer & utility reimbursements, FRA, land sales, OBAG, Prop K, TIFIA	17%	4%	17%	11%
26	Construction Labor Compliance Software	Elation Systems	\$134,500	\$112,000	9/15/08-12/31/21	AB1171, Reimbursements, City Financing, FRA, Prop K, RM-2, TIFIA	0%	47%	0%	57%
<b>Operations</b>										
27	Transit Center Asset Manager	LPC West Transit Management	based on services provided	\$28,479,615	5/8/17-5/7/23	Community Benefits District, Reimbursements, City Financing, RM-2, TIFIA, Temp Terminal and TTC Operating	n/a	0%	0%	0%
28	Unarmed Security Guard & Roving Ambassafor Services	Allied Universal	Authorized: \$3,473,840 Total: \$18,191,100	\$3,849,092	1/11/18-6/30/21	Community Benefits District, Naming Rights, Neutral DAS revenue, operator contribution, RM-2	n/a	0%	n/a	0%
29	Physical Security (PSIM) System & Emergency Communication (ECS/ MNS) Integration Svcs	G4S Technology	\$5,930,894	\$5,678,943	6/8/17-6/30/20	Reimbursements, City Financing, land sales, RM2	0%	0%	0%	0%
30	IT Cybersecurity	Mosaic451	Authorized: \$977,845 Total: \$2,020,891	\$836,743	3/12/18-3/11/21	RM-2, TTC Operating	n/a	0%	n/a	0%
<b>Short Term Lease</b>										
31	Revenue Generation from Block 3736/089 (Parcel G)	Delta Parking Management	\$15,500 per month	\$0	10/7/19 - 1/7/20, thereafter month to month	Lease payment to TJPA	n/a	0%	n/a	0%
32	Revenue Generation from Block 3736/007 (Parcel H)	United SF Parking	\$16,000 per month	\$0	10/7/19 - 1/7/20, thereafter month to month	Lease payment to TJPA	n/a	0%	n/a	0%
<b>Overall DBE and SBE Participation</b>						<b>DBE Goal</b>	<b>DBE Awarded</b>	<b>SBE Awarded</b>	<b>DBE Payments</b>	<b>SBE Payments</b>
Life of the Transbay Transit Center Program, since 2004*						n/a	8%	15%	\$147,024,245	\$137,984,976
Federal Fiscal Years October 2016 - September 2019						n/a	8%	15%	\$45,465,395	\$40,248,138

\*TJPA began tracking SBEs in FFY08-09

**Transbay Joint Powers Authority**  
**Status of Purchase Orders and Contracts greater than \$25,000**  
**Fiscal Year 2019-20 through First Quarter (July 2019 to September 2019)**  
As of October 22, 2019

Agency		Contract or Budget Value	Accrued to September 30, 2019	Term	Funding Source	Notes				
<b>Interagency Agreements</b>										
1	California Department of Transportation (Caltrans)	\$300,000	\$268,955	7/15/10-12/31/18	Prop K, RM-2	Design review and oversight services provided by Caltrans for project elements affecting State right-of-way; agreement approved by TJPA Board in resolution 10-023 (Agreement #04-2247).				
2	Caltrans	\$500,000	\$330,533	7/3/14-completion of Bus Ramp & Bus Storage	Reimbursements, Prop K	Quality assurance and construction oversight services for Bus Ramps elements within or affecting State right-of-way; agreement approved by TJPA Board in resolution 14-019; amended to include Bus Storage Facility in resolution 17-032.				
3	Caltrans	\$377,018	\$377,018	5/15/17-completion of Bus Storage	City Financing	Bus Storage Facility 25-year Lease Agreement; agreement approved by the TJPA Board in resolution 17-008. TJPA responsible only through construction, then AC Transit assumes responsibility per Sublease Agreement with AC Transit, approved by the TJPA Board in resolution 17-018.				
5	SF City Attorney	\$2,712,133	\$2,705,361	on-going	Reimbursements, Bridge loan, FTA, Prop K	Legal Services provided via Administrative Services agreement dated October 2001 between TJPA and City and County of San Francisco.				
6	SF Police Department	\$4,809,070	\$2,563,914	8/12/18-on-going	TTC operating, RM-2, unrestricted revenue	Supplemental Police Services in coordination with other law enforcement and City agencies.				
7	SF Public Works	Authorized: \$1,969,129 Total: \$2,255,500	\$1,629,821	2/11/08-12/31/19	Reimbursements, FRA, FTA, Prop K, RM-2, RTIP	Additional Survey & Mapping, Sewer, Roadway Resurfacing, AWSS and General Services provided via agreement approved by TJPA Board in resolution 08-005.				
8	SF Public Works	\$4,491,461	\$2,100,923	1/4/16-Phase 1 Completion	Reimbursements, Prop K	Construction Management and Oversight Services provided via agreement approved by TJPA Board in resolution 16-008.				
9	SF Municipal Transportation Agency	Authorized: \$5,044,640 Total: \$5,393,677	\$4,698,906	8/01/09-12/31/19	Reimbursements, Prop K, RM-2, TIFIA, TTC Operating	Traffic Engineering, OCS Design and Construction Management Services provided via agreement approved by TJPA Board in resolution 09-018; amendment to include Temp Terminal traffic control services by PCOs approved by TJPA Board in resolution 10-027; amended to extend and redistribute budget in Board resolution 17-029.				
10	SF Art Commission	\$950,000	\$938,042	7/1/08-6/30/20	AB1171, FTA, Prop K, RTIP, TIFIA	Public Art Program Implementation Services provided via agreement approved by TJPA Board in resolution 08-026.				
11	SF Department of Building Inspection	Based on services; Authorized: \$4,794,890	\$4,794,872	3/10/10-termination	AB1171, FRA, Prop K	Plan review and field inspection services provided via agreement approved by TJPA Board in resolution 09-036.				
<b>Contract</b>				<b>Issued</b>	<b>Due Date</b>	<b>Notes</b>				
<b>Invitations for Bids / Requests for Proposals</b>										
Financial Advisory and Consulting Services				8/16/2019	9/11/2019	Canceled due to lack of competition, anticipate reissuance at a later date.				
<b>Purpose</b>	<b>Vendor</b>	<b>Award Value</b>	<b>Total Spent</b>	<b>Term</b>	<b>Funding Source</b>	<b>DBE Advisory</b>	<b>DBE Actual</b>	<b>SBE Goal</b>	<b>SBE Actual</b>	
<b>Contracts Completed 7/1/19 - 9/30/19</b>										
1	Legal Counsel	Thompson Coburn	Authorized: \$600,000 Total: \$1,000,000	\$582,172	11/1/10-7/31/19	Reimbursements, FTA	0%	0%	0%	0%

**Transbay Joint Powers Authority**  
**Inception to Date Capital Expenditures and Funding Sources (Phases 1 and 2)**  
**As of September 30, 2019**  
(Unaudited)

<b>CAPITAL EXPENDITURES</b>	<b>INCEPTION TO DATE</b>
<b>Salary and Benefits</b>	<b>30,017,097</b>
<b>Administration</b>	<b>12,099,091</b>
<b>Professional &amp; Specialized Services</b>	
Transit Center/Bus Ramp Engineering & Design	172,044,993
Temporary Terminal Engineering & Design (complete)	3,263,825
Bus Storage Engineering & Design	6,229,921
Utility Relocation Engineering & Design	5,990,409
Downtown Extension Preliminary Engineering & Design	32,377,504
Program Management/Program Controls	113,143,808
Other Professional Services	76,950,501
<b>Subtotal, Professional &amp; Specialized</b>	<b>410,000,960</b>
<b>Construction &amp; Construction Management</b>	
Temporary Terminal Construction Management (complete)	1,749,247
Temporary Terminal Construction (complete)	20,729,570
Transit Center CM/GC Pre-Construction Services and Bonds	43,973,394
Former Terminal and Ramps Demolition (complete)	15,748,484
Construction Management and Construction Management Oversight	79,785,514
Utility Relocation Construction	27,749,404
Transit Center Building Construction	1,359,271,793
Transit Center Temporary Closure	6,259,080
Tenant Improvements	14,265,845
Bus Ramp Construction	64,980,798
Bus Storage Construction Management	1,436,697
Bus Storage Construction	24,756,722
<b>Subtotal, Construction &amp; Construction Management</b>	<b>1,660,706,547</b>
<b>Right of Way</b>	
Property Acquisition (complete for Phase 1)	117,982,980
Professional Services (closing costs, relocation, etc.)	5,067,734
<b>Subtotal, Right of Way</b>	<b>123,050,714</b>
<b>Other</b>	
Caltrans Attorney Parking (complete)	411,538
Financing Costs (Bridge Loan, TIFIA, City Financing)	20,074,228
<b>Subtotal, Other</b>	<b>20,485,766</b>
<b>TOTAL ACCRUED CAPITAL EXPENDITURES</b>	<b>2,256,360,176</b>

**CAPITAL FUNDING SOURCES**

FTA: Federal Demonstration Section 1601	8,795,355
FTA: Federal High Priority Project 5309	30,330,249
FTA: Federal Projects of National & Regional Significance	24,459,002
FTA: Federal Congestion Management & Air Quality (One Bay Area Grant)	6,000,000
FRA: Federal High Speed Intercity Passenger Rail	400,000,000
FRA: Federal Rail Relocation Grant	2,650,000
FEMA: Federal Homeland Security Transit Security Grant	100,000
AC Transit Capital Contributions	39,473,919
AB 1171 Bridge Tolls	149,824,641
Regional Measure 1 Bridge Tolls	54,399,940
Regional Measure 2 Bridge Tolls	149,959,311
San Francisco (Proposition K) SF Sales Tax	195,974,303
San Mateo Sales Tax	23,359,514
Regional Transportation Improvement Program (RTIP)	10,153,000
Land Sales	512,988,724
Bridge Loan Proceeds	154,683,122
TIFIA Loan Proceeds	167,984,889
City Financing Proceeds	103,000,000
Mello-Roos Community Facilities District (CFD) Reimbursements	197,202,049
Lease, Interest Income & Other Unrestricted Sources	9,968,018
Reimbursements by Others (Private Utilities, Adjacent Property Developers, etc.)	10,623,392
Net Tax Increment	2,832,134
Former SF Redevelopment Agency In-Kind Contribution	798,689
Transit Center District Impact Fees	799,924
<b>TOTAL CAPITAL FUNDING SOURCES</b>	<b>2,256,360,176</b>

Notes: Inception to Date capital outlay is based on payments made and reported in the LOGOS Financial System as of September 2019, as well as accrued outstanding September 2019 invoices.

Revenues are based on revenue received and recorded in the LOGOS Financial System as of September 30, 2019, as well as receivables related to accrued expenditures.

Report is for capital expenditures and revenues only; does not include operations.