



Webcor/Obayashi Joint Venture
Request for Qualifications (RFQ)
TG10.3 – Heating, Ventilating, and Air Conditioning (HVAC)

For The Transbay Transit Center
San Francisco, California
Webcor/Obayashi Joint Venture Job No. 30100

October 17, 2013

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I. PROJECT DESCRIPTION

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The Transbay Joint Powers Authority's new Transbay Transit Center (TTC) is under construction on the site of the former Transbay Terminal in downtown San Francisco and will serve eleven transportation systems: AC Transit, BART, Caltrain, Golden Gate Transit, Greyhound, Muni, SamTrans, WestCAT Lynx, Amtrak, Paratransit and future California High Speed Rail. As a new one million square foot regional transportation facility serving San Francisco the TTC is comprised of the following levels:

- Train Station Passenger Platform Level
- Train Concourse Level
- Ground Level
- Second Level
- Elevated Bus Level
- 5.4 acre Park Level Roof

The project has three components:

Transbay Transit Center: Bounded to the East by Main Street, to the West by Second Street, to the North by Minna Street and the South by Natoma Street. The TTC will be approximately 1600 feet long, 180 feet wide, 60 feet below grade and 60 feet above grade.

Utility Relocation: Scope is for purposes of adjusting utilities in vicinity of the TTC. The work encompasses the area between Second Street and Main Street and between Market Street and Howard Street. It involves relocation of water lines, sewer lines, sludge lines, demolition of existing utilities and coordination with PG&E and all telecom agencies.

Bus Ramp: Scope includes elevated roadways connecting the Elevated Bus Level with the San Francisco-Oakland Bay Bridge and with bus storage under the Bay Bridge's West Approach structure. These ramps will approach the TTC from the south and connect to the TTC at the southwest corner.

**End of Section I
Project Description**

II. SCOPE OF CONSTRUCTION SERVICES
TG10.3 Heating, Ventilating, and Air Conditioning (HVAC)
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The scope of work for the Heating, Ventilating, and Air Conditioning (HVAC) (Trade Package) includes all construction services for all necessary labor, material, equipment, supplies, and supervision required to complete the work in accordance with the bid documents and as noted below:

TG10.3 Heating, Ventilating, and Air Conditioning (HVAC)

The major items of the work for this package or any part thereof are anticipated to consist of the following elements:

Cooling towers, hydronic cooling & heating, fluid coolers, indoor air handling units, evaporative air-cooling equipment, heat exchangers, custom breechings, chimneys and stacks, performance based specifications, commissioning, and BIM (3D, 4D & 5D) on complex projects. Also included are engineering, coordination, procurement and complete installation of the respective systems.

This is a LEED™ project and all aspects of design, construction, documentation and maintenance are balanced with first cost, sustainability and energy efficiency. The project has set a goal of achieving a minimum LEED™ certification of Silver and certification level of Gold is being explored. Trade Subcontractors will be an integral part of the team that ensures that the project meets the established LEED™ requirements.

Payment and performance bonds may be required for this Trade Subcontractor.

Insurance: In addition to general liability insurance, Trade Subcontractor shall carry pollution liability insurance in place for this project. The additional insured will be identified in the bid documents.

End of Section II
Scope of Construction Services

III. COMMUNICATION WITH WEBCOR/OBAYASHI JOINT VENTURE

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Interested Trade Subcontractors shall not contact staff of the TJPA, TJPA Program Management/ Program Controls team, Webcor/Obayashi Joint Venture, the Construction Management Oversight team, or other TJPA Consultants regarding this RFQ. All contact shall be made in writing as described below:

Primary: E-mail Webcor/Obayashi Joint Venture at TransbayBidding_TG10.3@webcor-obayashi.com.

Alternate: Fax Webcor/Obayashi Joint Venture at (510) 748-7805.

**End of Section III
Communication with Webcor/Obayashi Joint Venture**

IV. QUALIFYING, BIDDING, AND CONTRACTING
TG10.3 Heating, Ventilating, and Air Conditioning (HVAC)
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The purpose of this RFQ is to identify Trade Subcontractors qualified to perform the Heating, Ventilating, and Air Conditioning (HVAC) scope of work for the project. The contract for the Heating, Ventilating, and Air Conditioning (HVAC) work will ultimately be awarded by Webcor/Obayashi Joint Venture to the qualified and responsible bidder submitting the lowest responsive bid, subject to the approval of the TJPA.

The Bidder shall submit a Request for Qualification (RFQ) package no later than **2:00 p.m.** Pacific time on **November 15, 2013.**

Notification of the qualification will be provided to the Bidder by **December 10, 2013.** Bids submitted by non-qualified Bidders will not be accepted or opened. Non-qualified Bidders assume all risk and costs associated with submitting a bid if they are deemed not qualified.

Trade Subcontractors will be required to comply with the Federal procurement rules and guidelines set forth in Federal Transit Administration Circular 4220.1.F, the Uniform Administrative Requirements for Grants and Cooperative Agreements to State and local Governments, in the FTA Best Practices Procurement Manual, and at 49 CFR Part 18 and Part 26. Trade Subcontractors will also be required to comply with all provisions of section 1770 *et seq.* of the California Labor Code. Procurement will be without regard to race, gender, religion, affiliation, or sexual orientation.

End of Section IV
Qualifying, Bidding, and Contracting

V. QUALIFICATION AND BIDDING PROCESS
TG10.3 Heating, Ventilating, and Air Conditioning (HVAC)
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QUALIFICATION:

Qualification Requirement: All Trade Subcontractors who wish to submit a bid for the Heating, Ventilating, and Air Conditioning (HVAC) scope of work shall be qualified. It is mandatory that the respective Trade Subcontractor fully complete the qualification questionnaire and provide all materials requested herein. No bid will be accepted from a Trade Subcontractor who has failed to comply with these requirements.

Package Issuance Timeline: Starting **October 17, 2013**, RFQ packages will be available. Interested Trade Subcontractors should download the RFQ directly from the TJPA website.

Questions: Questions or clarifications regarding the qualification forms must be submitted in writing no later than **2:00 p.m. on October 31, 2013**, by e-mail to Webcor/Obayashi Joint Venture at TransbayBidding_TG10.3@webcor-obayashi.com, or by fax to (510) 748-7805. **No questions will be accepted after 2:00 p.m. on October 31, 2013.** The answers to RFQ questions will be posted on the TJPA's website.

Application Submittal and Due Date: Each questionnaire must be signed under penalty of perjury in the manner designated at the end of the form, by an individual who has the legal authority to bind the Trade Subcontractor on whose behalf that person is signing. If any information provided by a Trade Subcontractor becomes inaccurate, the Trade Subcontractor must immediately notify Tim Maxwell at Webcor/Obayashi Joint Venture and provide updated accurate information in writing, under penalty of perjury.

Each Trade Subcontractor shall submit one original and four copies of its response to this RFQ in writing to Webcor/Obayashi Joint Venture, 175 Beale Street, San Francisco, CA, 94105. Qualification documents must be submitted no later than **2:00p.m. on November 15, 2013**. Webcor/Obayashi Joint Venture reserves the right to extend the date for qualification packages and/or request, receive, and evaluate supplemental information after the above time and date at its sole determination. Webcor/Obayashi Joint Venture reserves the right to waive minor irregularities and omissions in the information contained in the qualification application submitted, and to make all final determinations.

Incomplete or Late Submittals: Webcor/Obayashi Joint Venture may refuse to grant qualification where the requested information and materials are not provided, or not provided by the last date to submit the questionnaire noted above. There is no appeal from a refusal for an incomplete or late application. The closing time to accept RFQs will not be changed in order to accommodate supplementation of incomplete submissions, or late submissions.

Interviews: Webcor/Obayashi Joint Venture at its own discretion may conduct interviews with any or all of the Trade Subcontractors responding to the RFQ to better determine their qualifications to perform work required under the project. Interviews will be for clarification of Trade Subcontractor's

responses in the Qualification Application, for discussion of additional information, and to supplement Trade Subcontractor's responses in the Qualification Application.

Determination of Qualification: Trade Subcontractor's Qualification Statement must be completed and submitted along with supplemental information required. Failure to do so will render the application incomplete. Trade Subcontractor may be deemed not qualified for falsification of information.

The review, evaluation, and score for each Trade Subcontractor's application will be performed by a panel consisting of representatives of TJPA, the Program Management/Program Controls Consultant, the Construction Management Oversight Consultant, and Webcor/Obayashi Joint Venture. The scoring will be based on the following objective evaluation criteria:

Section I: Essential Requirements for Qualification:

To qualify, a Trade Subcontractor **will be required** to have a passing grade within this area. A Trade Subcontractor is subject to **disqualification** if the answer to any of questions in Part "A" are "no" or if the answer to any questions in Part "B" are "yes".

Section II: Scoring Section:

Included in this section are questions which will be scored. There is a maximum of 300 points possible. Trade Subcontractor who receives **250** or more points will pass this section. In addition to the questionnaire, Trade Subcontractor must provide the requested information for the project experience category. Trade Subcontractor must submit 5 relevant projects, 3 of its largest projects and **all** of its projects performed for the City and County of San Francisco in the last five years. Failure to provide the requested information shall render the submittal incomplete.

Trade Subcontractor must qualify under both Section I and receive the minimum points under Section II to pass the Qualification requirement.

Trade Subcontractor will be notified in writing about its qualification status. Webcor/Obayashi Joint Venture reserves the right to adjust, increase, limit, suspend or rescind the qualification rating based on subsequently learned information. Trade Subcontractor whose rating changes sufficient to disqualify it will be notified, and given an opportunity for an appeal consistent with the appeal procedures described below for appealing a qualification rating.

While it is the intent of the qualification questionnaire and required documents to assist Webcor/Obayashi Joint Venture in determining a Trade Subcontractor's qualification prior to bid and to aid in the selection of the lowest responsible bidder, neither the fact of qualification, nor any qualification rating, will preclude Webcor/Obayashi Joint Venture, in its discretion, from a post-bid consideration and determination of whether a Trade Subcontractor has the quality, fitness, capacity and experience to satisfactorily perform the proposed work, and has demonstrated the requisite trustworthiness.

Appeals Process: Where a timely and completed application results in a rating below that necessary to qualify, an appeal can be made. Trade Subcontractor may file an appeal by delivering a completed Request for Appeal (Attachment 6) to Webcor/Obayashi Joint Venture, no later than five days after the

notification date as to its qualification. Without a timely appeal, Trade Subcontractor waives any and all rights to challenge the decision of Webcor/Obayashi Joint Venture, whether by administrative process, judicial process or any other legal process or proceeding.

If Trade Subcontractor files a complete and timely Request for Appeal, a review of the appeal shall be conducted so that it is concluded soon after receipt of the Request for Appeal. The review shall be an informal process conducted by Webcor/Obayashi Joint Venture, PMPC, and the TJPA and will be based upon the information submitted by Trade Subcontractor in its Request for Appeal.

Webcor/Obayashi Joint Venture will notify Trade Subcontractor in writing of the decision at the conclusion of the review. The decision is final and is not subject to appeal or challenge whether by administrative process, judicial process or any other legal process or proceeding.

Financial Responsibility: Webcor/Obayashi Joint Venture accepts no financial responsibility for any costs incurred by a firm in responding to this RFQ. Submissions to Webcor/Obayashi Joint Venture under this RFQ will become the property of the TJPA and may be used by the TJPA in any way deemed appropriate.

No Waiver: No waiver by Webcor/Obayashi Joint Venture of any provision of this RFQ shall be implied from any failure by Webcor/Obayashi Joint Venture to recognize or take action on account of any failure by a Trade Subcontractor to observe any provision of this RFQ.

No Representations or Warranties: The information presented in this RFQ and in any report or other information provided by Webcor/Obayashi Joint Venture to a Trade Subcontractor is provided solely for its convenience. It is the sole responsibility of a Trade Subcontractor to assure for itself that the information contained in this RFQ or other documents are accurate and complete. No representations, assurances or warranties pertaining to the accuracy of such information are or will be provided by the TJPA or its advisors.

No Conflict of Interest: Webcor/Obayashi Joint Venture and the TJPA shall disqualify any Trade Subcontractor that has a conflict of interest under Section C8.105 of the San Francisco Charter, Government Code Section 1090 *et. seq.*, the Political Reform Act (Government Code Section 87100 *et. seq.*), or any other applicable conflict of interest laws. Any false, incomplete, or otherwise unresponsive statements made in connection with a proposal may be cause for its disqualification at Webcor/Obayashi Joint Venture and the TJPA's sole discretion.

Anticipated Bidding Process:

Webcor/Obayashi Joint Venture anticipates the bidding process will proceed as follows:

1. Bids will be accepted only from Trade Subcontractors who are pre-qualified, or who are able to be qualified at time of bid. Webcor/Obayashi Joint Venture will inform Trade Subcontractors where to buy plans. Webcor/Obayashi Joint Venture will distribute Bidding Documents to Plan Rooms in the Bay Area to encourage second tier and SBE subcontractors to bid their work to the qualified Trade Subcontractors.
2. A pre-bid meeting will be held after the documents are distributed.

3. Trade Subcontractors will submit their bids. Bids will be opened publicly. Only bids submitted by qualified Trade Subcontractors will be opened. All bids received from non-qualified Trade Subcontractors will be returned to the respective Trade Subcontractors unopened.
4. The Trade Subcontract, if awarded, will be awarded to the qualified and responsible Trade Subcontractor submitting the lowest responsive bid, as determined by Webcor/Obayashi Joint Venture and the TJPA. Webcor/Obayashi Joint Venture reserves the right to reject any bid for TG10.3 Heating, Ventilating, and Air Conditioning (HVAC). The award of any Trade Subcontract is subject to the approval of the TJPA.
5. A Trade Subcontractor wishing to be qualified should be aware that if it is a successful bidder, it must comply with all bid conditions including, but not limited to:
 - a. Supply any additional company information for bonding purposes within 10 days of notification of qualification.
 - b. Furnishing required insurance certificates within 10 days of notice of intent to award and maintaining required insurance;
 - c. Complying with the TJPA's Small Business Enterprise (SBE) program, or as stipulated by Webcor/Obayashi Joint Venture;
 - d. Possession of a Business Tax Registration Certificate. Before any contract can be certified as to the availability of funds and before a Notice to Proceed can be issued, Trade Subcontractor must provide Webcor/Obayashi Joint Venture with a copy of a current Business Tax Registration Certificate pursuant to San Francisco Ordinance 345-88. Trade Subcontractors can register for a current certificate with the Business Tax Division of the Tax Collector of the City of San Francisco;
 - e. Confirming the personnel who will be assigned to the project. Trade Subcontractors should anticipate potential penalties if the personnel designated to be assigned to the project are changed without the prior approval of Webcor/Obayashi Joint Venture;
 - f. Providing an audited financial statement verifying the ratios provided in the qualification form and confirming their financial worthiness to perform the work;
 - g. Certifying compliance with all applicable federal regulations, including Buy America; and
 - h. Signing a letter of assent to the TJPA Project Labor Agreement.

End of Section V
Qualification and Bidding Process

VI. OTHER REQUIREMENTS

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- A. Complete Response: All responses shall be complete, including all required information and attachments in order to be responsive and eligible for qualification.
- B. Small Business Enterprise (SBE) Program:
 - 1. The TJPA Small Business Enterprise (SBE) Program will apply to all Trade Subcontractors. SBE Program is available on the Transbay Transit Center website:
<http://www.transbaycenter.org/tjpa/doing-business-with-the-tjpa>.
 - 2. There will be SBE subcontracting requirements for each trade package. This Trade Package has an SBE utilization goal of 20%. Trade Subcontractors shall provide the anticipated percent of the amount of the Trade Subcontract that will be contracted to SBE firms and the plan they propose to implement to achieve this goal, along with a list of projects that they have participated in and met the SBE requirement.
- C. Trade Subcontractors currently engaged on the project may submit qualifications, but personnel submitted in the Qualification package for TG10.3 Heating, Ventilating, and Air Conditioning (HVAC) must be completely separate and distinct personnel from Trade Subcontractors' personnel otherwise engaged on this project.
- D. Trade Subcontractors are solely responsible for ensuring receipt of any and all addenda.

End of Section VI Other Requirements

VII. WEBCOR/OBAYASHI JOINT VENTURE'S RIGHTS AND OPTIONS

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Webcor/Obayashi Joint Venture reserves the right to postpone selection for its own convenience, to withdraw this RFQ at any time, and to reject any and all submittals without indicating any reason for such rejection. As a function of the RFQ process, Webcor/Obayashi Joint Venture reserves the right to remedy technical errors in response to the RFQ and to modify the published scope of services. Webcor/Obayashi Joint Venture reserves the right to request that specific personnel with specific expertise be added to the Trade Subcontractor's team, if Webcor/Obayashi Joint Venture determines that specific expertise is lacking in the project team.

Statements and other materials submitted will not be returned.

Webcor/Obayashi Joint Venture reserves the right to abandon this RFQ process and/or change its procurement process for this Trade Package at any time if it is determined that abandonment and/or change would be in Webcor/Obayashi Joint Venture's best interest. In the event of an abandonment or change, Webcor/Obayashi Joint Venture will not be liable to any Trade Subcontractor for any costs or damages arising out of or relating to its response to the RFQ.

The issuance of this RFQ does not constitute an agreement by Webcor/Obayashi Joint Venture that any Trade Subcontract will actually be entered into. Webcor/Obayashi Joint Venture expressly reserves the right at any time to:

- waive or correct any defect or informality in any response, proposal, or proposal procedure, as determined by Webcor/Obayashi Joint Venture in its sole discretion;
- reissue an RFQ;
- prior to the submission deadline for proposals, modify all or any portion of the selection procedures, including deadlines for accepting responses, the specifications or requirements for any materials, equipment or services to be provided under this RFQ, or the requirements for contents or format of the proposals;
- request that one or more Trade Subcontractors clarify, supplement, or modify the information submitted;
- extend deadlines for accepting responses; request amendments to responses after the expiration of deadlines; request clarifications, revised proposals or best and final offers; or negotiate or approve final agreements; and
- consider any information about any Trade Subcontractor that is not expressly contained in Trade Subcontractor's response.

Any interpretation of, or change in, this RFQ will be made by addendum, and shall become part of the RFQ.

End of Section VII Webcor/Obayashi Joint Venture's Rights and Options

VIII. SUBMITTAL CHECKLIST

Trade Group: TG10.3 Heating, Ventilating, and Air Conditioning (HVAC)

Company Name: _____

If Submitted, Check Box:

- ☐ One original and four copies, in 8-1/2" x 11" format, of responses with supporting material submitted by **2:00 p.m. on November 15, 2013**. Responses should be submitted in double-sided fashion on recycled paper. Responses should include the fully completed Trade Subcontractor Qualification Statement.
- ☐ Information regarding five similar projects utilizing Attachment 3.
- ☐ Information about three largest projects utilizing Attachment 4.
- ☐ All City and County of San Francisco projects from the last five years utilizing Attachment 5.
- ☐ Resumes for project managers and superintendents to be used on the project.
- ☐ Organization chart of key members, showing the contractual and reporting relationship of each member. If a Joint Venture, indicate which firm the key members are associated with, as well as the estimated percentage of each firm's involvement in the scope of work.
- ☐ Notarized Declaration.
- ☐ Additional information limited to three pages, for the Trade Subcontractor only, and incorporating information from major sub-tier contractors/consultants, as appropriate.

**End of Section VIII
Submittal Checklist**

IX. TRADE SUBCONTRACTOR QUALIFICATION STATEMENT

Scope of Work: TG10.3 Heating, Ventilating, and Air Conditioning (HVAC)

Firm Name (as it appears on license):

Address:

Contact:

Title:

Phone:

E-Mail:

Fax:

Website:

Section 1 – Essential Requirements for Qualification

PART A:

Trade Subcontractor will be immediately disqualified if the answer to any question in Part “A” is “No”.

NO POINTS ASSESSED, ALL QUESTIONS ARE PASS/FAIL.

Item	Question	SCORE Pass/Fail
A-1.	Contractor possesses a valid and current California Contractor’s license for the project or projects for which it intends to submit a bid with the appropriate classifications required. <input type="checkbox"/> Yes <input type="checkbox"/> No No = Not Qualified, FAIL	Pass/Fail
A-2.	Contractor is able to provide a payment and performance bond from a surety licensed in the state of California for work equal to Contractor’s bid amount. For the purposes of this RFQ, the bond amount should be assumed to be at least \$11,000,000. If provided, all bonds shall be issued by sureties with an "A-, VIII" rating or better as per Best’s Rating Guide and be satisfactory to Webcor/Obayashi Joint Venture. <input type="checkbox"/> Yes <input type="checkbox"/> No No = Not Qualified, FAIL	Pass/Fail
A-3.	Contractor has or can obtain a liability insurance policy issued by an insurance company licensed in the state of California with limits and ratings as noted below and with a Waiver of Subrogation: All insurance companies shall have a current A.M. Best Rating not less than “A-, VIII” and shall be satisfactory to Webcor/Obayashi Joint Venture. -Worker’s Compensation & Employer’s Liability: WC – Statutory Limit; EL - \$1M, including coverage for U.S. Long Shore and Harbor Workers’ Act benefits, and Jones Act benefits, and Federal Employees Liability Act -General Liability + Umbrella or Excess Liability: Non Hazardous - \$10M (each occurrence and aggregate), including coverage for Contractual Liability, Independent Contractors, Explosion, Collapse, and Underground (XCU), Personal Injury, Broadform Property Damages, and completed operations -Automobile Liability: \$1M combined single limit Final limits and conditions of insurance will be determined at the time of contract bidding. <input type="checkbox"/> Yes <input type="checkbox"/> No No = Not Qualified, FAIL	Pass/Fail
A-4.	Contractor provides health and pension benefits for its employees and their families through an ERISA approved program. <input type="checkbox"/> Yes <input type="checkbox"/> No No = Not Qualified, FAIL	Pass/Fail

A-5	Contractor has an existing agreement with a registered apprenticeship program(s) which has been approved by the California Apprenticeship Council, and the program(s) has graduated apprentices in the preceding five years for the apprenticeable craft(s) which may be employed by your firm. <input type="checkbox"/> Yes <input type="checkbox"/> No No = Not Qualified, FAIL	Pass/Fail
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PART B

Contractor will be subject to disqualification if the answer to any question in Part "B" is "Yes".

NO POINTS ASSESSED, ALL QUESTIONS ARE PASS/FAIL.

B-1.	Has your contractor's license been revoked at any time in the last five (5) years? <input type="checkbox"/> Yes <input type="checkbox"/> No Yes = Not Qualified, FAIL	Pass/Fail
B-2.	Has your contractor's license been suspended for any reason within the last five (5) years (other than Contractor State License Board bond related reasons of duration not exceeding 90 days)? <input type="checkbox"/> Yes <input type="checkbox"/> No Yes = Not Qualified, FAIL	Pass/Fail
B-3.	Has a surety completed a contract on your behalf, or paid for completion because your firm was default terminated within the last five (5) years? <input type="checkbox"/> Yes <input type="checkbox"/> No Yes = Not Qualified, FAIL	Pass/Fail
B-4.	At the time of submitting this qualification form, is your firm ineligible to bid on or be awarded a public works contract, or perform as a contractor on a public works contract? <input type="checkbox"/> Yes <input type="checkbox"/> No Yes = Not Qualified, FAIL	Pass/Fail
B-5.	Has your firm or any of its owners, officers or partners ever been convicted of a crime involving any federal, state, or local law related to construction? <input type="checkbox"/> Yes <input type="checkbox"/> No Yes = Not Qualified, FAIL	Pass/Fail
B-6.	Is your firm presently in bankruptcy? <input type="checkbox"/> Yes <input type="checkbox"/> No Yes = Not Qualified, FAIL	Pass/Fail
B-7.	Has your firm or any of its owners, officers or partners ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to a public agency or entity? <input type="checkbox"/> Yes <input type="checkbox"/> No Yes = Not Qualified, FAIL	Pass/Fail
B-8.	During the last five (5) years, has there ever been a period of time when your firm had no surety bond in place during a construction project when one was required? <input type="checkbox"/> Yes <input type="checkbox"/> No Yes = Not Qualified, FAIL	Pass/Fail

Section 1 Summary

All answers in Part A have been answered 'Yes', and Part B answered 'No' Pass _____
 If any questions in Part A were answered 'No', or Part B answered 'Yes' Fail _____

Section 2: Licensing; Surety; Insurance; Environmental Matters; Disputes, and Claims; Business Organization; Financial Information; Safety; Prevailing Wage; Project History

2.1 Licensing:

Licensing Scoring Section:	Total Score Possible	15 Pts
Provide the following information: A. Trade Subcontractor must have a current and valid State of California Contractor's License for the trade they are qualifying. List all license numbers and the name of the qualifying license holder as issued and as on file with the licensing board.		
Issuing Agency	Class	
License Number	Date issued	
Exp. Date	Name	
/ / / / / / / /		
1. Has your Cal-OSHA certificate(s) or registration(s) been revoked at any time in the last 5 years? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):		
2. Has your firm had a complaint filed with the Contractors State License Board that required a formal hearing or inquiry within the last 5 years? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):		
Section 2.1 Actual Points Earned		

2.2 Surety Information:

Bonding Scoring Section:	Total Score Possible	15 Pts
Surety Company: Bonding Agent Contact: Phone: Fax: e-mail: Single Project Bonding Capacity: Aggregate Bonding Capacity: Available Bonding Capacity: Average Project Size Last Year: Bond Rate: Current Backlog: Bonding Status: Attach a statement from your surety insurer (who must be approved by the California Department of Insurance and authorized to issue bonds in the State of California), which states that your current bonding capacity is sufficient for the project. How long have you been with the present Surety? _____ Identify any conditions imposed and/or restrictions by the Surety: _____ 1. At any time during the past five years, has any surety company <u>made any payments</u> on your firm's behalf as a result of a default, to satisfy any claims made against a performance or payment bond issued on your firm's behalf, in connection with a construction project, either public or private? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes): 2. In the last five years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for your firm? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):		

	3. During the last five years, has your firm ever been denied bond coverage by a surety company, or has there ever been a period of time when your firm had no surety bond in place during a public construction project when one was required? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):	
	Section 2.2 Actual Points Earned	

2.3 Insurance:

	Insurance Scoring Section: Total Score Possible	15 Pts
	<p>1. Does your firm currently carry a workers' compensation insurance policy as required by the Labor Code or are they legally self-insured pursuant to current California labor laws and code in effect. Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if no):</p> <p>2. In the last five years has there ever been a period when your firm had employees but was without workers compensation insurance or state-approved self-insurance? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):</p> <p>3. In the last five years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for your firm? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):</p>	
	Section 2.3 Actual Points Earned	

2.4 Environmental Matters:

	Environmental Matters Scoring Section: Total Score Possible	15 Pts
	<p>1. Has your firm been cited by any governing agencies for violations to local ordinances or codes (i.e. BAAQMD, Regional Water Quality Control Boards, etc.)? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):</p> <p>2. Has your firm ever received a citation or violation from the Environmental Protection Agency (EPA)? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):</p> <p>3. Has your firm ever received a citation or violation from the Department of Toxic Substances Control (DTSC)? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):</p>	
	Section 2.4 Actual Points Earned	

2.5 Disputes, Arbitration, and Litigation:

	Disputes, Arbitration and Litigation Scoring Section: Total Score Possible	25 Pts
	<p>1. At any time in the last five (5) years has your firm been assessed liquidated damages (regardless of final settlement) after completion of either a public or private project? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes): If "yes," explain on a separate signed page, identifying all such projects by owner, owner's address, the date of completion of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.</p> <p>2. In the last five (5) years has your firm, or any firm with which any of your company's owners, officers or partners was associated, been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason? Note: "Associated with" refers to another construction firm in which an owner, partner or officer of your firm held a similar position. Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):</p>	

<p>If “yes,” explain on a separate signed page. State whether the firm involved was the firm applying for qualification here or another firm. Identify the name of the company, the name of the person within your firm who was associated with that company, the year of the event, the owner of the project, the project and basis for the action.</p> <p>3. In the last five (5) years has your firm been denied an award of a public works contract based on a finding by a public agency, or their agent, that your company was not a responsible bidder? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes): If “yes,” explain on a separate signed page. Identify the year of the event, the owner, the project and the basis for the finding by the public agency.</p> <p>4. In the past five (5) years has any claim against your firm concerning your firm’s work on a construction project been filed in court or arbitration with an owner or general contractor? Yes <input type="checkbox"/> No <input type="checkbox"/> If yes, how many times: If “yes,” on separate signed sheets of paper identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).</p> <p>5. In the past five (5) years has your firm made any claim against a project owner or general contractor concerning work on a project or payment for a contract and filed that claim in court or arbitration? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):</p> <p>6. Are there any liens for labor or materials filed against your company, its officers, or any company associated with them? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):</p>	
Section 2.5 Actual Points Earned	

2.6 Claims:

Claims Scoring Section:	Total Deductive Score Possible	-50 to 0 Pts
<p>A claim is a demand or assertion by your firm seeking adjustment or interpretation of contract terms, payment of money, extension of time or other relief with respect to the terms of the contract, your firm’s right to which was disputed by the owner or general contractor, including, but not limited to disputes subject to mediation, arbitration or litigation.</p> <p>Note: The following questions refer only to disputes between your firm and the owner or general contractor of a project. You need not include information about disputes between your firm and a supplier or Trade Subcontractor. Also, you may omit reference to all disputes where the amounts were \$10,000 or less.</p> <p>1. Enter the number of claims that were made through mediation, arbitration or litigation with a value in excess of \$10,000 for additional compensation against owners or general contractors in the past five (5) years. Using the Attachment 2 form, provide detailed information for each current, pending and resolved dispute.</p> <p>No. of Claims: _____</p> <p>2. Summary of Claims: Include ALL claims as defined above that were made through mediation, arbitration or litigation for completing the following items a. thru f. as they will be used in the table below:</p> <p style="margin-left: 40px;">a. Total Dollar Amount of Claims in past 5 yrs.: _____</p> <p style="margin-left: 40px;">b. Total Dollar Amount Recovered: _____</p> <p style="margin-left: 40px;">c. Percentage of Recovery (b ÷ a): _____ %</p> <p style="margin-left: 40px;">d. Total Number of Claims: _____</p> <p style="margin-left: 40px;">e. No. of Projects over \$1million in 5 past yrs.: _____</p>		

<p>f. Percentage of Claims Frequency (d ÷ e): _____ %</p> <p>The following table demonstrates how the information submitted above will be evaluated. The matrix below indicates the points that will be deducted based upon the contractors claim experience.</p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse; text-align: center;"> <thead> <tr> <th></th> <th colspan="3">% of Recovery</th> </tr> <tr> <th>% of Claims Frequency</th> <th>0 - 33%</th> <th>34 - 66%</th> <th>67 - 100%</th> </tr> </thead> <tbody> <tr> <td>50 - 100%</td> <td>-30pts</td> <td>-15pts</td> <td>-10pts</td> </tr> <tr> <td>25 - 50%</td> <td>-20pts</td> <td>-10pts</td> <td>-5pts</td> </tr> <tr> <td>0 - 25%</td> <td>-10pts</td> <td>-5pts</td> <td>0pts</td> </tr> </tbody> </table> <p>Total Scoring Range for Item 2 is -30 to 0 Points.</p> <p>3. Enter the number of claims submitted and resolved <u>outside</u> of mediation, arbitration and litigation with a value in excess of \$10,000 for additional compensation against owners or general contractors in the past five (5) years. Provide this information in a tabular format with dollar amounts totaling at the bottom. Include one line item for each claim and include the following information for each line item at a minimum; project name, project location, owner, general contractor, nature of claim, dollar amount of initial claim, dollar amount of final settlement, date of initial claim, date of resolution and how the claim was resolved (if resolved).</p> <p>No. of Claims: _____ Total Amount (\$): _____</p>		% of Recovery			% of Claims Frequency	0 - 33%	34 - 66%	67 - 100%	50 - 100%	-30pts	-15pts	-10pts	25 - 50%	-20pts	-10pts	-5pts	0 - 25%	-10pts	-5pts	0pts	
	% of Recovery																				
% of Claims Frequency	0 - 33%	34 - 66%	67 - 100%																		
50 - 100%	-30pts	-15pts	-10pts																		
25 - 50%	-20pts	-10pts	-5pts																		
0 - 25%	-10pts	-5pts	0pts																		
Section 2.6 Actual Points Deducted																					

Disputes, Arbitration, Litigation and Claims Point Summary: **Section 2.5 Actual Points Earned**
Section 2.6 Points Deducted
Section 2.5 and 2.6 Total

+
-

2.7 Business Organization and History

Business Organization Scoring Section:	Total Score Possible	15 Pts																								
<p>A. Is your company an: <input type="checkbox"/> individual <input type="checkbox"/> partnership <input type="checkbox"/> corporation <input type="checkbox"/> joint venture <input type="checkbox"/> Subchapter S Corp <input type="checkbox"/> Proprietorship <input type="checkbox"/> LLC</p> <p>B. If firm is a sole proprietor or partnership, list the owner(s) of the company:</p> <p>C. Under the laws of which state is the company organized? State of _____</p> <p>D. Date Founded: _____</p> <p>E. Parent, Affiliate, and/or Subsidiary Companies:</p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse; text-align: center; width: 80%;"> <thead> <tr> <th>Full Legal Name</th> <th>Location</th> <th>Ownership</th> <th>Operations</th> <th>Indemnity Available?</th> <th>Endorsed for Obligation of:</th> </tr> </thead> <tbody> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> </tbody> </table> <p>F. When did present management assume control? _____</p> <p>G. Name of Predecessor: _____ What happened to Predecessor? _____</p> <p>H. What percentage of your work is public? _____% private? _____%</p> <p>I. SBE Certification - Is your firm currently certified as a one of the following:</p> <ul style="list-style-type: none"> • <i>California Certified Small Business (SB) or Disabled Veteran Business Enterprise (DVBE) as certified by the California Department of General Services (DGS) – Procurement Division (PD) – Office of Small Business and Disabled Veteran Business Enterprise Services (DVBE)</i> Yes _____ No _____ <p>Expiration date: _____.</p>			Full Legal Name	Location	Ownership	Operations	Indemnity Available?	Endorsed for Obligation of:																		
Full Legal Name	Location	Ownership	Operations	Indemnity Available?	Endorsed for Obligation of:																					

<ul style="list-style-type: none"> • <i>City and County of San Francisco Local/Minority/Women-Owned Business Enterprise (L/M/WBE) as certified by the Contract Management Division (CMD) of the Office of the City Administrator (formerly the San Francisco Human Rights Commission (HRC))</i> Yes ____ No ____ Expiration Date: _____ • <i>Disadvantaged Business Enterprise (DBE) as certified by any state's Unified Certification Program (UCP)</i> Yes ____ No ____ Expiration date: _____. <p>Please attach a copy of your Certification Letter(s). If no, have you submitted your certification forms to one of the above entities? Yes ____ No ____ If yes, expected certification date: _____.</p> <p>J. Number of Employees by Trade:</p> <table border="1"> <thead> <tr> <th>Trade:</th> <th>2012</th> <th>2011</th> <th>2010</th> </tr> </thead> <tbody> <tr> <td>_____</td> <td></td> <td></td> <td></td> </tr> </tbody> </table> <p>Number of Current Administrative Employees:</p> <p>1. Has your firm or any of its owners, officers or partners ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):</p> <p>2. Have there been any changes in the control or management of the company during the last 5 years? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):</p> <p>3. Continuity – Completion of Work?</p> <p>a) Is there a buy-sell agreement in effect? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):</p> <p>b) Is the agreement funded by life insurance? Yes <input type="checkbox"/> No <input type="checkbox"/> If yes, amount of insurance \$</p> <p>c) Who are the parties to the buy-sell agreement? Give details if copy not provided.</p> <p>4. What arrangements have been made to assure that contracts are completed if the owners are not available?</p> <p>5. Has your firm been in bankruptcy or a voluntary or involuntary reorganization in the last three years? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):</p>	Trade:	2012	2011	2010	_____				
Trade:	2012	2011	2010						

<p style="text-align: right;">Section 2.7 Actual Points Earned</p>									

2.8 Financial Information:

Financial Information:	Total Score Possible	40 Pts									
<div style="display: flex; justify-content: space-between;"> Federal Tax ID No.: General Tax Exemption No.: </div> <div style="display: flex; justify-content: space-between;"> Dun and Bradstreet No.: Income/Balance Sheet Preparation Method: </div> <div style="display: flex; justify-content: space-between;"> Name of Bank: Branch: Line of Credit Amount: \$ </div> <div style="display: flex; justify-content: space-between;"> Revenue: 2013: (Projected) \$ 2012: (Actual)\$ 2011: (Actual)\$ 2010: (Actual)\$ </div> <p>The apparent successful bidder will be required to furnish its audited financial statement prior to the award of contract to confirm the ratios below. Confirm that it will be provided upon request: <input type="checkbox"/>Yes <input type="checkbox"/>No</p> <p>Based on your most recent audited or reviewed financial statement(s), provide the following summary financial information: Note: Trade Subcontractor is EXEMPT if it meets the definition below.</p> <p>The apparent successful bidder will be required to provide actual financials prior to the award of contract.</p> <p><i>Public Contract Code section 20101(e)</i> (e) For the purposes of subdivision (a), a financial statement shall not be required from a contractor who has qualified as a Small Business Administration entity pursuant to paragraph (1) of subdivision (d) of Section 14837 of the Government Code, when the bid is no more than 25 percent of the qualifying amount provided in paragraph (1) of subdivision (d) of Section 14837 of the Government Code.</p> <p><i>Government Code section 14837 (d) (1)</i> (d) (1) "Small business" means an independently owned and operated business that is not dominant in its field of operation, the principal office of which is located in California, the officers of which are domiciled in California, and that, together with affiliates, has 100 or fewer employees, and average annual gross receipts of ten million dollars (\$10,000,000) or less over the previous three years, or is a manufacturer, as defined in subdivision(c), with 100 or fewer employees.</p> <p><i>SF Administrative Code Chapter 14B(3)(b) applies for HRC certified contractors.</i></p> <p>Enter Financial Ratios Below</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 33%;">Working Capital</th> <th style="width: 33%;">Current Ratio</th> <th style="width: 33%;">Leverage</th> </tr> </thead> <tbody> <tr> <td style="border: 1px solid black; height: 40px;"></td> <td style="border: 1px solid black; height: 40px;"></td> <td style="border: 1px solid black; height: 40px;"></td> </tr> <tr> <td style="border: 1px solid black; padding: 5px;">Current Assets (minus) Current Liabilities (divided by) 2012 Volume</td> <td style="border: 1px solid black; padding: 5px;">Current Assets (divided by) Current Liabilities</td> <td style="border: 1px solid black; padding: 5px;">Total Liabilities (divided by) Equity</td> </tr> </tbody> </table>			Working Capital	Current Ratio	Leverage				Current Assets (minus) Current Liabilities (divided by) 2012 Volume	Current Assets (divided by) Current Liabilities	Total Liabilities (divided by) Equity
Working Capital	Current Ratio	Leverage									
Current Assets (minus) Current Liabilities (divided by) 2012 Volume	Current Assets (divided by) Current Liabilities	Total Liabilities (divided by) Equity									
Section 2.8 Actual Points Earned:											

2.9 Safety:

Safety Scoring Section:	Total Score Possible	30 Pts
<p>1. List your firm's Experience Modification Rate (EMR) (California workers' compensation insurance) for each of the past three premium years:</p> <p>NOTE: An EMR is issued to your firm annually by your workers' compensation insurance carrier.</p> <p>Current year EMR: Less than or = 1.00 = Well Qualified 1.01 TO 1.24 = Generally Qualified Equal or Greater than 1.25 = Not Qualified</p> <p>Year 2012: _____ Year 2011: _____ Year 2010: _____</p> <p>Should you and/or your sub tier contractors current EMR exceed 1.0, you must demonstrate and document that you have or will initiate programs, policies and attitudes that will result in a safety conscious performance. Additional documentation and presentation to Webcor/Obayashi Joint Venture will be required.</p>		

2. Rate Category	2012	3 Yr. Avg.
OSHA Recordable/Injury Rate: OSHA Lost Workdays Incidence Rate: OSHA Lost Time Incidence Rate: Total Man-hours/Year (Field Personnel):		
3. OSHA Citations – Include both California and Federal:		
Year	Number	Code of State or Federal Regs. Sect. Nos.
2012		
2011		
2010		
2009		
2008		
If any citations were noted above, please provide explanation on a separate sheet.		
4. Fatalities Category	Current Year	Total # over past 3 Yrs.
Total Number of Employee Fatalities:		
Total Number of Contractor Employee Fatalities:		
		Section 2.9 Actual Points Earned

2.10 Prevailing Wage and Apprentice Compliance:

Prevailing Wage and Apprenticeship Compliance Scoring:	Total Score Possible	10 Pts
1. Has there been more than one occasion during the last five years in which your firm was required to pay either back wages or penalties for your own firm's failure to comply with the State's prevailing wage requirements? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain and note how often (if yes):		
2. During the last five years, has there been more than one occasion in which your own firm has been penalized or required to pay back wages for failure to comply with the Federal Davis-Bacon prevailing wage requirements? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain and note how often (if yes):		
3. At any time during the last five years, has your firm been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain and note how often (if yes): If "yes," also provide the date(s) of such findings, and attach copies of the Department's final decision(s).		
		Section 2.10 Actual Points Earned

2.11 Project and Personnel Experience:

	Project and Personnel Experience: Total Score Possible	120 Pts
	<p>1. Provide a complete project profile for five similar completed projects in the past five years. Also provide a profile on the three largest projects completed in the past ten years. Names and references provided by Trade Subcontractor must be current and verifiable.</p> <p>Project Experience Requirements:</p> <ol style="list-style-type: none"> a. Trade Subcontractor shall provide information on at least five completed projects that are similar in nature to size, complexity, and estimated value of the Heating, Ventilating, and Air Conditioning (HVAC) Package. Include information of relevant experience for any second tier contractor included within the Qualification Statement. Projects shall have been completed within the last ten years. Submitted relevant project experience should include, at a minimum, the following: <ul style="list-style-type: none"> • Heating, Ventilating, and Air Conditioning (HVAC) – <ol style="list-style-type: none"> A. Cooling Towers B. Hydronic Cooling & Heating C. Indoor Air-Handling Units D. Evaporative Air-Cooling Equipment E. Heat Exchangers F. Performance Based Specifications G. Commissioning H. BIM (3D, 4D & 5D) • Any other information unique to the project that may be relevant. b. Use of Attachments 3 and 4 is recommended to submit the project information. Trade Subcontractor may submit standard project profiles, but it <u>must</u> contain all information requested in Attachments 3 and 4. c. Provide supplemental information on your firm's tracking systems for design, fabrication and delivery of all required components. <p>2. Using Attachment 5, provide a list of all City and County of San Francisco (CCSF) projects that your firm has been involved in within the last ten years. This refers to all projects performed for CCSF, irrespective of geographical location.</p> <p>3. Provide details of the proposed team, including team structure, resume for each individual team member; including their responsibilities, experience, references (minimum of 3 each) availability and capacity. At a minimum, you must address the following roles: a) Project Executive, b) Project Lead (main point of contact), c) Superintendents, d) Project Managers, e) Quality Manager, f) Safety Manager, and g) Scheduler. The proposed team needs a minimum of the following full time (key/lead) assigned personnel to this project; One (1) Project Lead, One (1) Project Manager, One (1) Superintendent, One (1) Designated Safety Personnel, One (1) Quality Control Specialist, and One (1) Scheduler.</p> <p>4. Provide supplemental information on your firm's quality control system and identify projects where your firm successfully implemented it. Do you have a full-time QA/QC representative? Yes ____ No ____ If yes, how many are Corporate? ____ How many are Field? ____</p> <p>5. Provide supplemental information on your firm's tracking systems for design, fabrication, and delivery of all required components.</p>	
	Section 2.11 Actual Points Earned:	

Summary and Scoring Totals

Section 1:

All answers in Part A have been answered 'Yes', and Part B answered 'No'
If any questions in Part A were answered 'No', or Part B answered 'Yes'

Pass _____

Fail _____

Section 2:

	<u>Score Possible</u>	<u>Score Received</u>
2.1 Licensing	15	_____
2.2 Surety/ Bonding	15	_____
2.3 Insurance	15	_____
2.4 Environmental Matters	15	_____
2.5 Disputes, Arbitration and Litigation	25	_____
2.6 Claims	0	_____
2.7 Business Organization	15	_____
2.8 Financial Information	40	_____
2.9 Safety	30	_____
2.10 Prevailing Wage and Apprentice Comp.	10	_____
2.11 Project and Personnel Experience	<u>120</u>	_____
Total Possible Score:	300	Total Score Received: _____

Trade Subcontractor must receive 250 out of a total of 300 points to pass the qualification criteria.

End of Section IX Trade Subcontractor Qualification Statement

Declaration

The undersigned hereby agrees and declares that receipt of this submittal by the Webcor/Obayashi Joint Venture does not constitute either a direct or implied guarantee that qualification is or will be granted.

I, the undersigned, certify and declare that I have read all the foregoing answers to this qualification questionnaire and know its contents. The matters stated in the questionnaire answers are true of my own knowledge and belief, except as to those matters stated on information and belief, and as to those matters I believe them to be true. I declare under penalty of perjury under the laws of the State of California, that the foregoing is correct.

The undersigned is a legally authorized representative of the Trade Subcontractor for the legal name noted above.

Trade Subcontractor

Printed Name/Title

Signature

Date _____

Subscribed and sworn to before me this _____ day of _____, 20_____

Notary Public in and for the State of _____

Residing at _____

Expiration Date: _____

End of Declaration

Attachment 1 - Tentative Selection Schedule

Qualification Schedule

<u>EVENT</u>	<u>TIME</u>	<u>DATE</u>	<u>LOCATION</u>
1. RFQ Packages Available		October 17, 2013	TJPA Website
3. Last Date for Questions	2:00 p.m.	October 31, 2013	Webcor/Obayashi Joint Venture
4. All RFQ Packages Due	2:00 p.m.	November 15, 2013	Webcor/Obayashi Joint Venture 175 Beale Street San Francisco, 94105
5. Evaluate RFQ		November 18, 2013 to December 9, 2013	
6. Issue Notices to Qualified Trade Subcontractors		December 10, 2013	

****The schedule above is preliminary and subject to change without notice****

End of Attachment 1

Attachment 2 - Mediation, Arbitration and Litigation History

(All Owners both Private and Public)
(Copy and attach this form for each claim)

Separately list all CURRENT, PENDING AND/OR RESOLVED disputes:

- Pursued via mediation, arbitration or litigation;
- Initiated within the last five (5) years;
- In which additional compensation is or was sought;
- And/or breach of contract is or was alleged;
- And/or indemnity is or was sought; and
- Between your firm (or any principal of your firm) and any Owner or any General Contractor

If none, indicate "NONE." Do not leave blanks

Project Name: _____

Project Location: _____

Owner: _____

General Contractor: _____

Nature of Claim: _____

Dollar Amount of Initial Claim: _____

Dollar Amount of Final Settlement: _____

Year Claim Filed: _____ Year Claim Resolved: _____

State of California Superior Court Action No. : _____

U.S. District Court Case No. : _____

How claim was resolved (arbitration, mediation, litigation, etc.): _____

Current Status: _____

End of Attachment 2

Attachment 3 - Similar Experience

Project Experience: TG10.3 Heating, Ventilating, and Air Conditioning (HVAC)

(Copy and attach form for each of 5 Similar Projects)

Project Name: _____

Location: _____

Owner: _____

Owner Contact: _____ Phone # _____

General Contractor: _____ Phone # _____

General Contractor Contact: _____

Architectural Firm: _____

Architect Contact: _____ Phone# _____

Construction Manager: _____ Phone# _____

Description of Project/ Scope of Work Performed:

Trade Work: _____ Square footage: _____

Description of the Work: _____

Total Value of Contract at Time of Award: _____

Final Value of Contract (including change orders): _____

Duration in Months from Award to Delivery: _____

Original Scheduled Completion Date: _____

Time Extension Granted (number of days): _____

Actual Date of Completion of your work: _____

What work did your firm perform on this project? Identify trades and values.

_____ \$ _____

_____ \$ _____

Did you subcontract portions of your work (i.e. labor, materials, delivery, etc.) to Small Business or Disadvantaged Business Enterprise(s)? If yes, please identify trades and values.

_____ \$ _____

_____ \$ _____

How did your company solicit and evaluate Small/Disadvantaged Business Enterprises to assist with the scope identified above? _____

Attachment 3 - Continued

What work did your firm self-perform? _____

Why is this work relevant to the TTC Project? _____

Name of your responsible Project Manager(s): _____

Name of your Superintendent(s) / Foreman (men): _____

Major Supplier: _____

Contact: _____ Phone# _____

End of Attachment 3

Attachment 4 - Largest Projects

Project Experience: TG10.3 Heating, Ventilating, and Air Conditioning (HVAC)

(Copy and attach form for each of 3 Largest Projects)

Project Name: _____

Location: _____

Owner: _____

Owner Contact: _____ Phone # _____

General Contractor: _____ Phone # _____

General Contractor Contact: _____

Architectural Firm: _____

Architect Contact: _____ Phone# _____

Construction Manager: _____ Phone# _____

Description of Project/ Scope of Work Performed:

Trade Work: _____ Square footage: _____

Description of the Work: _____

Total Value of Contract at Time of Award: _____

Final Value of Contract (including change orders): _____

Duration in Months from Award to Delivery: _____

Original Scheduled Completion Date: _____

Time Extension Granted (number of days): _____

Actual Date of Completion of your work: _____

What work did your firm perform on this project? Identify trades and values.

_____ \$ _____

_____ \$ _____

Did you subcontract portions of your work (i.e. labor, materials, delivery, etc.) to Small Business or Disadvantaged Business Enterprise(s)? If yes, please identify trades and values.

_____ \$ _____

_____ \$ _____

How did your company solicit and evaluate Small/Disadvantaged Business Enterprises to assist with the scope identified above? _____

Attachment 4 - Continued

What work did your firm self-perform? _____

Why is this work relevant to the TTC Project? _____

Name of your responsible Project Manager(s): _____

Name of your Superintendent(s) / Foreman (men): _____

Major Supplier: _____

Contact: _____ Phone# _____

End of Attachment 4

Attachment 5 - City & County of San Francisco Project Experience

TG10.3 Heating, Ventilating, and Air Conditioning (HVAC)

(Copy and attach this form as required for all City and County of San Francisco (CCSF) projects completed in the last five years. This refers to all projects performed for CCSF, irrespective of geographical location.)

Project Name: _____

Location: _____

City Contact: _____ Phone # _____

Description of Project/ Scope of Work Performed:

Total Value of Contract at Time of Award: _____

Final Value of Contract (including change orders): _____

Original Scheduled Completion Date: _____

Time Extension Granted (number of days): _____

Actual Date of Completion of your work: _____

Was this project completed to the satisfaction of the City? If no, why?

Name of your responsible Project Manager(s): _____

Name of your Superintendent(s) / Foreman (men): _____

Major Supplier: _____

Contact: _____ Phone# _____

End of Attachment 5

Attachment 6 - Request for Appeal

Webcor/Obayashi Joint Venture must receive this document **no later than five (5)** days after Webcor/Obayashi Joint Venture notifies the Trade Subcontractor of its qualification status.

Trade Subcontractor/Company Name: _____

Contact Person: _____

Telephone Number: _____

In the space provided below, describe in detail the basis for filing this Appeal.
(Add additional sheets if necessary.)

Please submit this form to: Webcor/Obayashi Joint Venture
175 Beale Street
San Francisco, CA 94105
Attn: Tim Maxwell

End of Attachment 6

END OF RFQ