

# Memorandum

**To:** TJPA Board of Directors  
**From:** Maria Ayerdi-Kaplan, Executive Director  
**Date:** For the Board Meeting of September 13, 2012  
**Re:** Transbay Joint Powers Authority Quarterly Reports

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The following reports have been prepared as information items for the TJPA Board:

1. FY 2011-2012 Fourth Quarter Budget vs. Actual Financial Report (Unaudited)
2. Status of Purchase Orders and Contracts Greater than \$25,000 as of June 30, 2012
3. Quarterly Investment & Earnings Report as of June 30, 2012
4. Inception to June 30, 2012 Expenditures and Revenues (Unaudited)

This memorandum describes the reports.

## **1. FY 2011-12 Fourth Quarter Budget vs. Actual Financial Report**

The TJPA Board approved the FY 2011-12 TJPA budget in June 2011. Four amendments to the capital budget have been approved by the Executive Director under the TJPA Budget Policy. The overall budget did not increase through these amendments; amounts were just transferred between line items. There is one proposed amendment to the operations budget which is on the agenda of today's Board meeting.

Accounting reports have been summarized to show budget versus actual amounts for expenditures and revenues through the end of fiscal year 2011-12 (July 1, 2011 through June 30, 2012) unaudited for both the capital and operating budgets. The FY 2011-12 fourth quarter budget versus actual financial report is Attachment 1. This report indicates that expenditures through fiscal year-end within the available budgets.

## **2. Status of Purchase Orders and Contracts Greater than \$25,000 as of June 30, 2012**

TJPA staff periodically reports to the Board on the status of contracts greater than \$25,000. As shown in Attachment 2, expenditures as of June 30, 2012 are within the contract certification amounts. In general, procurement activities are proceeding as planned. Additional details for each contract are noted in the report.

Data on Disadvantaged and Small Business Enterprise (DBE/SBE) utilization is also included for each contract. The TJPA Anticipated DBE Participation Level (ADPL) for the three Federal Fiscal Years begun October 1, 2010, which was calculated based on contracts planned to be awarded during the three year time period, has been 18.5%. The actual DBE commitment for contracts awarded thus far is 15% and the actual SBE commitment is 24%. Actual payments to DBEs and SBEs through the third quarter of Federal Fiscal Year 2011-12 (October 1, 2011 – June 30, 2012) were \$36.3 million. Proposed changes to the ADPL are the subject of another agenda item at today's Board meeting.

### **3. Quarterly Investment & Earnings Report as of June 30, 2012**

The primary objectives, in priority order, for the TJPA's investment activities are safety, liquidity, and return on investment. The TJPA's permitted investment instruments are governed by California Government Code and the TJPA Board-approved Investment Policy. All cash, other than cash held in escrow for contractor retention, is currently held in one of three accounts: 1) an insured/collateralized checking account with U.S. Bank, 2) the City & County of San Francisco Treasurer's investment pool, or 3) a trust account with Deutsche Bank National Trust. The Investment & Earnings report (Attachment 3) from the TJPA Chief Financial Officer identifies the balance in each of these accounts at the end of the quarter.

For the quarter ending June 30, 2012, interest earnings from the City Treasurer's Pool were \$7,721. The annualized rate of return for the quarter was 0.80% with an ending cash balance in the pool of \$4,178,154.

Due to the low interest rate environment, the U.S. Bank account is currently set up with an earnings credit rate, meaning that any earnings offset bank fees. Thus no interest earnings are reported in the investment report for the bank account. TJPA holds only the amount necessary for upcoming cash disbursements in the bank account.

The first deposit into the Deutsche Bank trust account TJPA has established for Gross Sales Proceeds from former state parcels was made in October 2011. TJPA has purchased \$68,000 of U.S. Treasury Notes due December 31, 2012 with these proceeds. Further details on this investment position are shown in Attachment 3.

### **4. Inception to June 30, 2012 Capital Expenditures and Revenues**

The Inception to Date Expenditures and Revenues (unaudited) report (Attachment 4) provides an overview of the TJPA's capital expenditures and revenues from the beginning of the program through June 30, 2012. Summary level information is provided by major expenditure category and revenue source.

**Information only.**

**Transbay Joint Powers Authority**  
**Fiscal Year 2011-12 Budget vs. Actual (Unaudited)**  
**as of 4th Quarter (July 2011 through June 2012)**

As of August 17, 2012

	FY 2011-12 Budget Amendment 4 <sup>3</sup>	FY 2011-12 1st-4th Quarter Capital Outlay & Revenues	Unexpended FY 2011-12 Budget	Percent Expended through 4th Quarter
<b>EXPENDITURES <sup>1</sup></b>				
<b>Salaries &amp; Benefits</b>				
Salaries	2,023,000	1,847,570	175,430	91%
Benefits	681,000	547,574	133,426	80%
<b>Subtotal, Salaries &amp; Benefits</b>	<b>2,704,000</b>	<b>2,395,144</b>	<b>308,856</b>	<b>89%</b>
<b>Administration</b>				
Rent	670,000	644,177	25,823	96%
Insurance	114,000	113,358	642	99%
Interest Expense	25,000	-	25,000	0%
Office Expenses	86,000	57,670	28,330	67%
Communications	87,000	27,946	59,054	32%
Information Technology Support	34,000	16,703	17,297	49%
Travel/Conferences	40,000	37,982	2,018	95%
Professional Development	24,000	14,286	9,714	60%
Board Expenses	10,000	8,858	1,142	89%
Meeting Expenses	7,000	3,237	3,763	46%
Miscellaneous	33,000	6,923	26,077	21%
<b>Subtotal, Administration</b>	<b>1,130,000</b>	<b>931,140</b>	<b>198,860</b>	<b>82%</b>
<b>Professional &amp; Specialized Services</b>				
<b>Engineering &amp; Design Services</b>				
Program Management / Program Controls	10,572,000	10,571,188	812	100%
Downtown Extension Preliminary Engineering & Design	589,385	491,501	97,884	83%
Downtown Extension Interagency Coordination	15,000	-	15,000	0%
Transit Center & Ramps Engineering & Design	16,158,000	16,157,395	605	100%
Transit Center Traffic Signals	-	-	-	0%
Transit Center Overhead Lines	680,000	109,350	570,650	16%
Transit Center Commissioning Agent	127,000	53,078	73,922	42%
Bus Ramps Design Reviews	200,000	8,143	191,857	4%
Temporary Terminal Engineering & Design	15,000	13,440	1,560	90%
Temporary Terminal Security Plan	15,000	15,000	-	100%
Bus Storage Engineering & Design	1,733,000	689,335	1,043,665	40%
Utility Relocation Engineering & Design	1,275,000	237,770	1,037,230	19%
Environmental Consultant / Building and Soil Haz. Mat.	433,000	150,077	282,923	35%
Archaeological Support Services	100,000	-	100,000	0%
Public Art Program	790,000	159,438	630,562	20%
Other Engineering & Design Services	1,677,000	-	1,677,000	0%
<b>Permits and Fees</b>				
Transit Center Building & Ramps Permits & Fees	4,328,000	2,817,100	1,510,900	65%
Utility Relocation Permits & Fees	22,700	22,670	30	100%
DTX Permits & Fees	10,000	1,638	8,362	16%
Other Plan Checks / Permit Fees	28,000	-	28,000	0%
<b>Real Estate Services</b>				
Property Management / Boarding	25,000	9,181	15,819	37%
Appraisal Services	100,000	80,635	19,365	81%
ALTA / Design Surveys	322,000	89,510	232,490	28%
SF Redevelopment Successor Agency Administrative Fee	125,000	8,340	116,660	7%
Miscellaneous Real Estate Services	32,000	-	32,000	0%
<b>Construction Management</b>				
Construction Management for Utility Relocation	99,000	19,151	79,849	19%
Construction Management for Existing Terminal & Ramps Demo	90,049	90,049	-	100%
Construction Management & Oversight for Transit Center	6,005,350	6,005,349	1	100%
Construction Management for Bus Storage	100,000	-	100,000	0%
<b>Construction</b>				
Transit Center & Ramps CM/GC Pre-Construction Services	4,605,522	4,535,846	69,676	98%
Existing Terminal & Ramps Demolition	2,521,429	2,521,429	-	100%
Transit Center Building & Ramps Construction	136,555,000	88,747,225	47,807,775	65%
Utility Relocation Construction	12,266,000	3,595,467	8,670,533	29%
<b>Planning &amp; Environmental Analysis</b>				
Transbay Transit District Plan	14,715	14,712	3	100%
Economic Analysis	350,000	182,363	167,637	52%
Environmental Review	100,000	-	100,000	0%
Other Planning Studies	118,000	-	118,000	0%

	FY 2011-12 Budget Amendment 4 <sup>3</sup>	FY 2011-12 1st-4th Quarter Capital Outlay & Revenues	Unexpended FY 2011-12 Budget	Percent Expended through 4th Quarter
<b>Administration</b>				
Benefits Administration / Payroll Services	73,350	73,342	8	100%
Outside Legal Counsel	2,821,500	2,821,472	28	100%
City Attorney	130,000	120,431	9,569	93%
Financial & Grant Management	860,000	522,393	337,607	61%
New Revenue Consultation	25,000	-	25,000	-
Accounting Software & Installation	20,000	14,122	5,878	71%
Audit Services	50,000	45,000	5,000	90%
Credit Rating Analysis	35,000	35,000	-	100%
Loan Servicing Fees	12,000	12,000	-	100%
TIFIA Project Monitoring	75,000	-	75,000	0%
Trustee Account Services	2,000	1,250	750	63%
Community & Public Relations	180,000	180,000	-	100%
Legislative Services	466,000	461,656	4,344	99%
Disadvantaged & Small Business Program	100,000	-	100,000	0%
Other Intergovernmental Agreements	30,000	-	30,000	0%
Other Consulting Assistance	50,000	6,800	43,200	14%
<b>Subtotal, Professional &amp; Specialized Services</b>	<b>207,127,000</b>	<b>141,689,844</b>	<b>65,437,156</b>	<b>68%</b>
<b>Right of Way Acquisition</b>				
Property Acquisition	2,502,000	462,000	2,040,000	18%
Title/Closing Costs	155,000	10,325	144,675	7%
Relocation Services Consultation	132,000	95,390	36,610	72%
Relocation Services Assistance	1,900,000	399,619	1,500,381	21%
Goodwill Loss Assistance	500,000	15,000	485,000	3%
Other Right of Way Expenses	134,000	410	133,590	0%
<b>Subtotal, Right of Way Acquisition</b>	<b>5,323,000</b>	<b>982,744</b>	<b>4,340,256</b>	<b>18%</b>
<b>Other</b>				
Caltrans Attorney Parking	100,000	90,720	9,280	91%
<b>Subtotal, Other</b>	<b>100,000</b>	<b>90,720</b>	<b>9,280</b>	<b>91%</b>
<b>Reserves</b>				
Fiscal Reserve	3,412,000	-	3,412,000	0%
Emergency Reserve	500,000	-	500,000	0%
<b>Subtotal, Reserves</b>	<b>3,912,000</b>	<b>-</b>	<b>3,912,000</b>	<b>0%</b>
<b>TOTAL EXPENDITURES + OTHER</b>	<b>220,296,000</b>	<b>146,089,592</b>	<b>74,206,408</b>	<b>66%</b>
	FY 2011-12 Budget Amendment 4 <sup>3</sup>	FY 2011-12 1st-4th Quarter Capital Outlay & Revenues	Unexpended FY 2011-12 Budget	Percent Expended through 4th Quarter
<b>CAPITAL REVENUE <sup>2</sup></b>				
<b>Committed Revenue</b>				
AC Transit Capital Contribution	2,551,000	2,550,919	81	100%
Federal Bus Facility & PNRS Grants	7,437,000	7,363,642	73,358	99%
Federal High Speed and Intercity Passenger Rail Program	172,021,400	114,025,901	57,995,499	66%
Federal Rail Line Relocation Grant	420,400	420,378	22	100%
Federal Homeland Security Transit Security Grant	50,000	15,000	35,000	30%
Regional Measure 2	3,100,000	1,133,615	1,966,385	37%
AB1171 Bridge Tolls	13,870,100	11,994,236	1,875,864	86%
Proposition K San Francisco Sales Tax	11,297,000	5,271,312	6,025,688	47%
San Mateo County Sales Tax	769,000	472,325	296,675	61%
Regional Transportation Improvement Program	2,272,100	2,272,100	-	100%
Lease Income	102,000	61,675	40,325	60%
Interest Income	43,000	23,880	19,120	56%
Developer Transaction Costs Contributions	70,000	68,738	1,262	n/a
<b>Subtotal, Committed Revenue</b>	<b>214,003,000</b>	<b>145,673,723</b>	<b>68,329,277</b>	<b>68%</b>
<b>Planned Revenue</b>				
Federal Alternatives Analysis Grant	1,240,000	-	1,240,000	0%
<b>Subtotal, Planned Revenue</b>	<b>1,240,000</b>	<b>-</b>	<b>1,240,000</b>	<b>0%</b>
<b>Other</b>				
Redevelopment Agency Loan Repayment	35,000	35,394	(394)	101%
Fund Balance from Previous Year	4,258,000	380,475	3,877,525	9%
<b>Subtotal, Other</b>	<b>4,293,000</b>	<b>415,870</b>	<b>3,877,130</b>	<b>10%</b>
<b>New Revenues to be Identified</b>				
Revenue Source to be Determined	760,000	-	760,000	0%
<b>Subtotal, Revenue Source to be Determined</b>	<b>760,000</b>	<b>-</b>	<b>760,000</b>	<b>0%</b>
<b>TOTAL REVENUE + OTHER</b>	<b>220,296,000</b>	<b>146,089,593</b>	<b>74,206,408</b>	<b>78%</b>

	FY 2011-12 Operating Budget Amendment 2 <sup>4</sup>	FY 2011-12 1st-4th Quarter Operating Expenses	Unexpended FY 2011-12 Budget	Percent Expended through 4th Quarter
<b>OPERATING EXPENDITURES</b>				
<b>Professional &amp; Specialized Services</b>				
Temporary Terminal Facility Management & Security	1,080,000	960,731	119,269	89%
Police Services as Needed	50,000	-	50,000	0%
AC Transit Additional Operating Support & Staging Lease	2,604,470	2,604,469	1	100%
Parking Control Officers	140,000	139,815	185	100%
Utilities	42,000	35,974	6,026	86%
Operating Contingency	33,956	-	33,956	-
<b><i>Subtotal, Professional &amp; Specialized Services</i></b>	<b>3,950,426</b>	<b>3,740,989</b>	<b>209,437</b>	<b>95%</b>
<b>Reserves</b>				
Operating Reserve	1,027,708	1,027,708	-	100%
<b><i>Subtotal, Reserves</i></b>	<b>1,027,708</b>	<b>1,027,708</b>	<b>-</b>	<b>100%</b>
<b>TOTAL OPERATING EXPENDITURES</b>	<b>4,978,134</b>	<b>4,768,697</b>	<b>209,437</b>	<b>96%</b>
<b>OPERATING REVENUE</b>				
Regional Measure 2	3,950,426	3,740,989	209,437	95%
AC Transit Reserve Contribution	719,552	719,552	-	100%
Rents	295,656	295,656	-	100%
Advertising, Interest, Other	12,500	12,500	-	100%
<b><i>Subtotal, Operating Revenue</i></b>	<b>4,978,134</b>	<b>4,768,697</b>	<b>209,437</b>	<b>96%</b>
<b>TOTAL OPERATING REVENUE</b>	<b>4,978,134</b>	<b>4,768,697</b>	<b>209,437</b>	<b>96%</b>

1: Italics indicate those line items for which the approval of the expenditure amount provides expenditure authorization from the Board. Other line items are generally authorized through contract approvals.

2: TJPA's capital grant revenues are multi-year, and do not lapse at the end of a fiscal year. Revenues that are budgeted in one fiscal year, but not utilized, will carry forward into the following year.

3: Capital Budget Amendments 1-3 approved by Executive Director under TJPA Budget Policy.

4: Operating Budget Amendment 2 pending Board approval.

**Report to Transbay Joint Powers Authority Board**  
**Status of Purchase Orders and Contracts greater than \$25,000**  
**Fiscal Year 2011-12 through Third Quarter (July 2011 to June 2012)**

as of August 30, 2012

Purpose	Vendor	Contract Value	Accrued to June 30, 2012	Term	Funding Source	DBE Advisory	DBE Actual through June 30, 2012	SBE Goal	SBE Actual through June 30, 2012
<b>Professional &amp; Specialized Services</b>									
Program Management / Program Controls	URS Corporation	Authorized: \$11,250,555 Total: \$28,400,000	\$10,571,188	7/1/11-6/30/14	AB1171, AC Transit, FRA	30%	18%	0%	<b>40%</b>
Downtown Extension Preliminary Engineering	Parsons Transportation Group	Authorized: \$900,000 Total: \$4,200,000	\$505,177	7/1/11-6/30/14	Prop K	30%	2%	0%	2%
Bus Storage A&E Services	Jacobs (formerly Carter & Burgess)	Authorized: \$4,743,203 Total: \$5,748,379	\$3,031,207	5/17/07- Bus Storage Warranty	RM-2, RTIP	16%	10%	0%	<b>22%</b>
Utility Relocation A&E Services	AECOM (formerly Metcalf & Eddy)	\$5,198,015	\$5,143,237	9/20/07- Utility Warranty	FRA, RM-2	20%	6%	0%	15%
Transit Center Bldg & Related Structures A&E Services	Pelli Clarke Pelli Architects	Authorized: \$113,836,100 Total: \$137,985,778	\$102,403,601	5/16/08- Transit Ctr Warranty	AB1171, AC Transit, FRA, Prop K, RM-2, RTIP	0%	0%	0%	<b>8%</b>
Cast Steel Nodes Engineering & Administrative Services	Cast Connex, Inc.	Authorized: \$240,000 Total: \$1,200,000	\$48,000	6/26/12 - 6/25/16	AB1171	0%	0%	0%	<b>0%</b>
Commissioning Agent	Enovity Inc.	Authorized: \$211,585 Total: \$762,196	\$194,922	9/10/09-6/30/16	RM-2	5%	0%	90%	<b>100%</b>
Environmental Consulting Services During Construction	Langan Environmental (formerly Treadwell & Rollo)	Authorized: \$384,850 Total: \$650,000	\$305,263	6/10/10-6/9/17	Prop K	20%	<b>41%</b>	37%	<b>54%</b>
Artwork: Grand Hall Terrazzo Floor	Julie Chang Olcott	Authorized: \$62,500 Total: \$125,000	\$50,000	1/6/11-12/31/17	Prop K	n/a	n/a	n/a	n/a

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Purpose	Vendor	Contract Value	Accrued to June 30, 2012	Term	Funding Source	DBE Advisory	DBE Actual through June 30, 2012	SBE Goal	SBE Actual through June 30, 2012
Artwork: CityPark Bus Jet Fountain	Ned Kahn Studios	Authorized: \$50,000 Total: \$1,000,000	\$40,000	1/6/11-12/31/17	Prop K	n/a	n/a	n/a	n/a
Artwork: Shaw Alley Pedestrian Passage	James Carpenter Design Associates	Authorized: \$62,100 Total: \$207,000	\$62,100	3/3/11-12/31/17	Prop K	n/a	n/a	n/a	n/a
Artwork: Landmark Entry Sculpture at Mission Square	Tim Hawkinson	Authorized: \$60,000 Total: \$200,000	\$30,000	3/5/11-12/31/17	Prop K	n/a	n/a	n/a	n/a
Artwork: Bus Level Enclosure LED Array	Jenny Holzer	\$104,000	\$78,000	6/21/12-12/31/17	Prop K	n/a	n/a	n/a	n/a
Lease Agreement	California Mission Street Partners	\$5,122,518	\$2,681,715	2003-2015	FTA, RTIP	n/a	n/a	n/a	n/a
Legal Counsel	Shute, Mihaly & Weinberger	\$4,400,000	\$4,047,031	9/9/10-9/8/15	FTA	0%	0%	75%	73%
Financial Consulting Services	Nancy Whelan Consulting	\$4,284,920	\$742,603	3/1/11-2/28/16	FRA, FTA	30%	<b>74%</b>	72%	<b>74%</b>
Federal Advocacy Services	Chambers, Conlon & Hartwell	Authorized: \$257,000 Total: \$403,500	\$223,909	10/1/10-9/30/13	unrestricted revenue	n/a	0%	0%	0%
Federal Advocacy Services	Manatt, Phelps & Phillips (formerly Holland + Knight)	Authorized: \$257,000 Total: \$403,500	\$216,000	10/1/10-9/30/13	unrestricted revenue	n/a	0%	0%	0%
State Advocacy Services	Mercury Public Affairs	\$198,000	\$189,500	8/1/10-7/31/12	unrestricted revenue	n/a	0%	0%	0%
State Advocacy Services	Townsend Public Affairs	\$198,000	\$189,500	8/1/10-7/31/12	unrestricted revenue	n/a	0%	0%	0%
Integrated Financial System	New World Systems	\$299,515	\$266,187	2/21/08-5/31/13	FTA, RM-2	0%	0%	0%	0%
Public Relations & Community Outreach	Singer Associates	Authorized: \$360,000 Total: \$900,000	\$225,000	4/1/11-3/31/16	FTA	18%	0%	40%	<b>100%</b>

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as of August 30, 2012

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New Revenue/ Fundraising Consultation	CCS	\$67,000	\$42,000	12/2/08- 12/1/14	unrestricted revenue	0%	0%	0%	0%
Retail Counsel	Sheppard Mullin Hampton & Richter	\$200,000	\$33,891	9/09/10- 12/30/14	FTA	0%	0%	0%	0%
Legal Counsel	Thompson Coburn	Authorized: \$400,000 Total: \$1,000,000	\$327,432	11/1/10- 10/31/15	FTA	0%	0%	0%	0%
Independent Auditor	Vavrinek, Trine, Day & Co	Authorized: \$96,000 Total: \$144,000	\$63,000	3/10/11- 3/9/14	FTA	0%	0%	10%	12%
<b>Real Estate Related Professional Services</b>									
Real Estate Appraiser	Carneghi-Blum & Partners	Authorized: \$138,353 Total: \$425,000	\$138,353	4/17/09- 4/16/14	FTA, Prop K, RTIP	0%	0%	0%	0%
Real Estate Review Appraiser	David Tattersall & Company	Authorized: \$14,975 Total: \$75,000	\$14,975	10/27/09- 10/26/12	FTA, Prop K	0%	0%	0%	0%
Machinery & Equipment Appraisers	Desmond, Marcello & Amster	Authorized: \$23,000 Total: \$62,500	\$19,980	1/25/08- 1/24/13	RTIP	0%	0%	0%	0%
Machinery & Equipment Appraisers	Neale & Sons Inc.	Authorized: \$29,000 Total: \$62,500	\$29,000	1/25/08- 1/24/13	RTIP	0%	0%	0%	0%
Goodwill Loss Appraisers	Desmond, Marcello & Amster	Authorized: \$32,500 Total: \$75,000	\$24,611	2/20/08- 2/19/13	RTIP	0%	0%	0%	0%
Goodwill Loss Appraisers	Donna Desmond Associates	Authorized: \$61,833 Total: \$75,000	\$34,333	2/20/08- 2/19/13	FTA, RTIP	0%	1%	0%	100%
Real Estate Transaction Title and Escrow Services	Chicago Title	\$300,000	\$161,487	5/17/07- 5/16/15	Prop K, RM-2, RTIP	0%	0%	0%	0%
Real Estate Economics Advisory Services	The Concord Group	\$77,000	\$61,734	8/1/11- 7/31/16	FTA	0%	0%	0%	0%
Real Estate Economics Advisory Services	Jones Lang LaSalle	\$100,000	\$7,050	4/23/12- 4/22/17	FTA	0%	0%	0%	0%

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Real Estate Economics Advisory Services	Seifel Consulting	\$150,000	\$103,451	8/1/11-7/31/16	FTA, Prop K	0%	0%	100%	100%	
Relocation Assistance Services	Associated Right of Way Services	\$600,000	\$555,359	9/30/08-9/29/13	Prop K	0%	0%	0%	0%	
On-Call Survey & Mapping Services	F3 & Associates	Authorized: \$117,481 Total: \$390,000	\$98,384	1/20/11-1/19/18	FRA, Prop K	15%	0%	100%	100%	
On-Call Survey & Mapping Services	Luk and Associates	Authorized: \$44,067 Total: \$390,000	\$20,994	5/11/11-5/10/18	FRA, RM-2	15%	0%	100%	100%	
<b>Construction &amp; Construction Management</b>										
Construction Management Oversight	Turner Construction Company	Authorized: \$8,892,270 Total: \$38,500,000	\$8,110,799	6/10/10-6/9/16	AB1171, AC Transit, FRA, Prop K	35%	17%	35%	21%	
Construction Manager/General Contractor - TTC & Ramps	Webcor/Obayashi	Authorized Pre-Construction: \$19,291,575 of \$23,151,821 Authorized Construction: \$268,849,853	\$147,942,239	3/12/09-Completion	AB1171, AC Transit, FRA, AT&T/PG&E, Prop K, RM-2	17%	<1%	17%	20%	
Construction Labor Compliance Software	Elation Systems	Authorized: \$47,500 Total: \$57,500	\$41,500	9/15/08-9/14/12	FRA, Prop K, RM-2	0%	0%	0%	0%	
<b>Operations</b>										
Temporary Terminal Facility Manager	ABM Facility Services (formerly Linc)	\$5,542,812	\$1,875,823	8/20/09-12/31/14	RM-2	2%	1%	15%	7%	
<b>Overall DBE and SBE Participation</b>						<b>DBE Goal</b>	<b>DBE Awarded</b>	<b>SBE Awarded*</b>	<b>DBE Payments</b>	<b>SBE Payments*</b>
Life of the Transbay Transit Center Program, since 2004						n/a	17%	24%	\$38,117,534	\$79,098,750
Federal Fiscal Years October 2010 - September 2013						18.5%	15%	24%	\$7,234,084	\$36,301,023

\*TJPA began tracking SBEs in FFY08-09

**Report to Transbay Joint Powers Authority Board**  
**Status of Purchase Orders and Contracts greater than \$25,000**  
**Fiscal Year 2011-12 through Third Quarter (July 2011 to June 2012)**

as of August 30, 2012

Agency	Contract or Budget Value	Accrued to June 30, 2012	Term	Funding Source	Notes
<b>Interagency Agreements</b>					
California Department of Transportation (Caltrans)	\$300,000	\$115,943	7/15/10-12/31/18	Prop K, RM-2	Design review and oversight services provided by Caltrans for project elements affecting State right-of-way; agreement approved by TJPA Board in resolution 10-023 at July 15, 2010 Board meeting.
SF City Attorney	\$2,706,430	\$2,515,861	on-going	FTA, RM-2	Legal Services provided via Administrative Services agreement dated October 2001 between TJPA and City and County of San Francisco.
Successor to SF Redevelopment Agency	\$1,393,266	\$1,034,485	on-going	FTA, RM-2, unrestricted revenue	Services for Preparation and Sale of State Conveyed Parcels provided on a Request for Services basis; work currently authorized for Blocks 8 and 9.
SF Department of Public Works	Authorized: \$830,568 Total: \$1,174,500	\$569,349	2/11/08-2/10/13	FRA, FTA, Prop K, RM-2, RTIP	Additional Survey & Mapping, Sewer, Roadway Resurfacing, AWSS and General Services provided via agreement approved by TJPA Board via resolution 08-005.
SF Municipal Transportation Agency	Authorized: \$1,947,942 Total: \$3,280,677	\$1,717,420	8/20/09-12/31/14	Prop K, RM-2	Traffic Engineering, OCS Design and Construction Management Services provided via agreement approved by TJPA Board in resolution 09-018; amendment to include Temp Terminal traffic control services by PCOs approved at July 15, 2010 Board meeting.
SF Department of City Planning	\$948,565	\$875,000	9/20/07-12/31/12	Prop K	Scope is Planning Services associated with the Transbay Transit District Plan; agreement with option to extend for one year approved by TJPA Board in resolution 07-039; amendment to extend term and increase compensation approved at July 15, 2010 Board meeting. Amended at June 2012 Board meeting.
SF Art Commission	Authorized: \$532,338 Total: \$950,000	\$423,891	7/1/08-6/30/15	FTA, RTIP	Public Art Program Implementation Services provided via agreement approved by TJPA Board in resolution 08-026.
SF Public Utilities Commission	Authorized: \$1,075,000 Total: \$1,150,000	\$380,287	9/14/09-9/13/14	Prop K, RM-2	Design Review Services related to hydraulics and wastewater via agreement approved by TJPA Board in resolution 09-010.

**Report to Transbay Joint Powers Authority Board**  
**Status of Purchase Orders and Contracts greater than \$25,000**  
**Fiscal Year 2011-12 through Third Quarter (July 2011 to June 2012)**

as of August 30, 2012

Invitations for Bids / Requests for Proposals									
Contract	Issued	Due Date	Funding Source	SBE Goal	Notes				
Structural Concrete Substructure	5/14/12	9/13/12	FRA	17%	Five firms pre-qualified to submit bids. Contract award anticipated for October Board Meeting.				
Personnel and Material Hoists	8/9/12	9/14/12	FRA	80%	RFP for installing, operating, and maintaining multiple personnel and material hoists, including the engineering, coordination, procurement and complete installation; anticipated for award at November Board meeting.				
Supplemental Environmental Impact Study/Environmental Impact Report Preparation Services	8/23/12	9/28/12	FTA	20%	Supplemental EIS/EIR (SEIS/EIR) preparation, including an Alternatives Analysis for Phase 2 of the Transbay Program; anticipated for award at November Board meeting.				
Glazing Design-Build	6/3/11	Fall 2012	AB1171	20%	Three firms submitted Design Proposals and qualified to submit bids. Package on hold while design is further refined.				

  

Contracts Completed 4/1/12 - 6/30/12									
Purpose	Vendor	Award Value	Total Spent	Term	Funding Source	DBE Advisory	DBE Actual	SBE Goal	SBE Actual
Temporary Terminal A&E Services	Jacobs (formerly Carter & Burgess)	\$1,910,000	\$1,910,000	4/19/07-Warranty	RM-2, RTIP	16%	19%	0%	22%

**Transbay Joint Powers Authority**  
**Investment & Interest Earnings Report<sup>1</sup>**  
**Fiscal Year 2012 4th Quarter**

Depository	Investment Type	Date of Investment	Balance	4th Quarter Interest	Annualized Rate of Return
City Treasurer	Pooled Deposit on call	continuous	\$ 4,178,154	\$ 7,721	0.74%
U.S. Bank	Checking	continuous	\$ 2,139,588	-	n/a
Deutsche Bank	Trust Accounts	10/18/2011	\$ 69,068	\$ 253	0.08%

  

<b>Deutsche Bank Trust Account Detail</b>					
	Maturity Date	Par Value	Carrying Value	Market Value <sup>2</sup>	Yield to Maturity
Cash	n/a	\$ 919	\$ 919	-	0.00%
U.S. Treasury Notes	12/31/2012	\$ 68,000	\$ 68,149	\$ 68,149	0.08%

<sup>1</sup> Per California Government Code Section 53646(b)(2), the TJPA investment portfolio is in compliance with the TJPA Investment Policy. As required by California Government Code Section 53646(b)(3), TJPA has sufficient resources to cover expenditures for the next six months.

<sup>2</sup> Source of Market Value is Deutsche Bank portfolio statement issued for 6/30/12. TJPA holds securities until maturity.

<b>Transbay Joint Powers Authority</b>	
<b>Inception to Date Capital Expenditures and Revenues</b>	
<b>As of June 30, 2012 (Unaudited)</b>	
<b>EXPENDITURES</b>	<b>INCEPTION TO DATE</b>
<i>Salary and Benefits</i>	11,639,950
<i>Administration</i>	5,004,012
<b><i>Professional &amp; Specialized Services</i></b>	
Transit Center/Bus Ramps Preliminary Engineering & Design	108,059,701
Temporary Terminal Preliminary Engineering & Design	3,263,824
Bus Storage Preliminary Engineering & Design	3,090,681
Utility Relocation Engineering & Design	5,426,933
Downtown Extension Preliminary Engineering & Design	25,483,607
Program Management/Program Control	66,257,948
Other Professional Services	30,359,124
<b><i>Subtotal, Professional &amp; Specialized</i></b>	<b>241,941,816</b>
<b><i>Construction &amp; Construction Management</i></b>	
Temporary Terminal Construction Management	1,749,247
Temporary Terminal Construction	20,729,570
Transit Center/Utilities CM/GC Pre-construction Services and Bonds	23,797,891
Former Terminal and Ramps Demolition	15,748,484
Construction Management and Construction Management Oversight	8,686,331
Transit Center Building Construction	114,961,359
Utility Relocation Construction	15,119,287
<b><i>Subtotal, Construction &amp; Construction Management</i></b>	<b>200,792,169</b>
<b><i>Right of Way</i></b>	
Property Acquisition	114,011,810
Professional Services (closing costs, relocation, etc.)	4,405,682
<b><i>Subtotal, Right of Way</i></b>	<b>118,417,492</b>
<b><i>Other (Caltrans Attorney Parking)</i></b>	<b>177,538</b>
<b>TOTAL EXPENDITURES</b>	<b>577,972,977</b>
<b>REVENUES</b>	
Federal Demonstration Section 1601	8,795,355
Federal High Priority Project 5309	24,350,621
Federal Projects of National & Regional Significance	4,924,852
Federal High Speed Intercity Passenger Rail	193,788,296
Federal Homeland Security Transit Security Grant	100,000
Federal Rail Relocation Grant	2,650,000
AC Transit Capital Contributions	2,550,919
AB 1171	43,890,180
Regional Measure 1	6,600,000
Regional Measure 2	142,299,477
Proposition K SF Sales Tax	111,379,850
Regional Transportation Improvement Program (RTIP)	9,829,300
San Mateo Sales Tax	23,045,366
SF Redevelopment Agency In-Kind	798,689
Developer Transaction Cost Contribution	391,098
Lease Income, Other Unrestricted	2,578,975
<b>TOTAL REVENUES</b>	<b>577,972,977</b>

Notes: Inception to Date capital outlay is based on payments made and reported in the LOGOS Financial System as of June 30, 2012 as well as accrued outstanding June 2012 invoices.

Revenues are based on revenue received and recorded in the LOGOS Financial System as of June 30, 2012 as well as receivables related to accrued expenditures.

Report is for capital expenditures/revenues only; does not include operations.